



US 20180306798A1

(19) **United States**

(12) **Patent Application Publication**

Roy et al.

(10) **Pub. No.: US 2018/0306798 A1**

(43) **Pub. Date: Oct. 25, 2018**

(54) **MONITORING DYSREGULATED SERUM COMPLEMENT, COAGULATION, AND ACUTE-PHASE INFLAMMATION SUB-PROTEOMES ASSOCIATED WITH CANCER**

(71) Applicant: **Biotech Support Group LLC**,  
Monmouth Junction, NJ (US)

(72) Inventors: **Swapan Roy**, East Brunswick, NJ (US);  
**Devjit Roy**, Casper, WY (US);  
**Matthew Kuruc**, Leonia, NJ (US)

(21) Appl. No.: **15/953,260**

(22) Filed: **Apr. 13, 2018**

**Related U.S. Application Data**

(60) Provisional application No. 62/485,868, filed on Apr. 14, 2017.

**Publication Classification**

(51) **Int. Cl.**  
**G01N 33/574** (2006.01)  
**G01N 33/50** (2006.01)  
**G01N 33/566** (2006.01)  
**G06F 19/12** (2006.01)  
**G06F 19/18** (2006.01)  
**G06F 19/28** (2006.01)

(52) **U.S. Cl.**

CPC . **G01N 33/57488** (2013.01); **G01N 33/57423** (2013.01); **G01N 33/5091** (2013.01); **G01N 33/566** (2013.01); **G01N 33/57438** (2013.01); **G01N 33/57415** (2013.01); **G01N 2800/60** (2013.01); **G06F 19/18** (2013.01); **G06F 19/28** (2013.01); **G01N 2033/57461** (2013.01); **G01N 2033/57403** (2013.01); **G01N 2033/57453** (2013.01); **G06F 19/12** (2013.01)

(57) **ABSTRACT**

Embodiments include obtaining a stroma liquid biopsy from a patient and detecting a pattern of dysregulation amongst the biomarkers in the stroma liquid biopsy that can be monitored to help screen, diagnose or treat the patient for cancer. The biomarkers in the stroma liquid biopsy are involved in interconnected pathways such as the coagulation pathway, acute-phase inflammation pathway, and complement pathway. Particular embodiments involve assaying for levels of biomarkers, such as neutrophil elastase, as well as subpopulations of other biomarkers, such as subpopulations of SERPIN proteins. The levels of biomarkers and/or the ratios of levels of biomarker subpopulations can be informative for predicting the cancer disease state in the patient, thereby enabling more personalized or tailored medical intervention for the patient.

**Specification includes a Sequence Listing.**

100



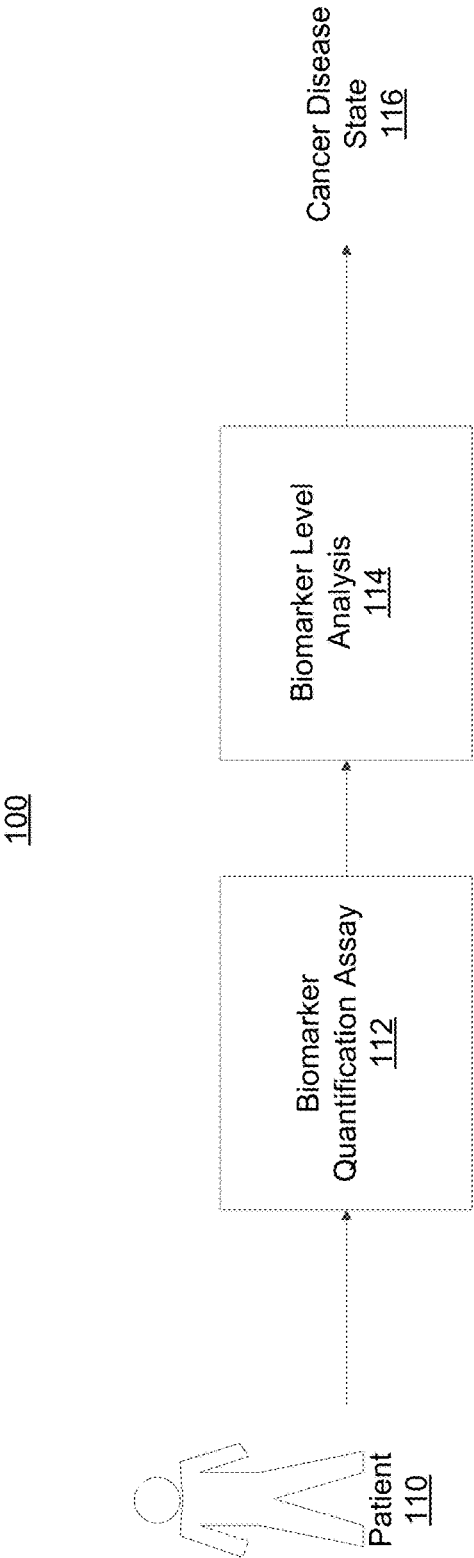


FIG. 1A

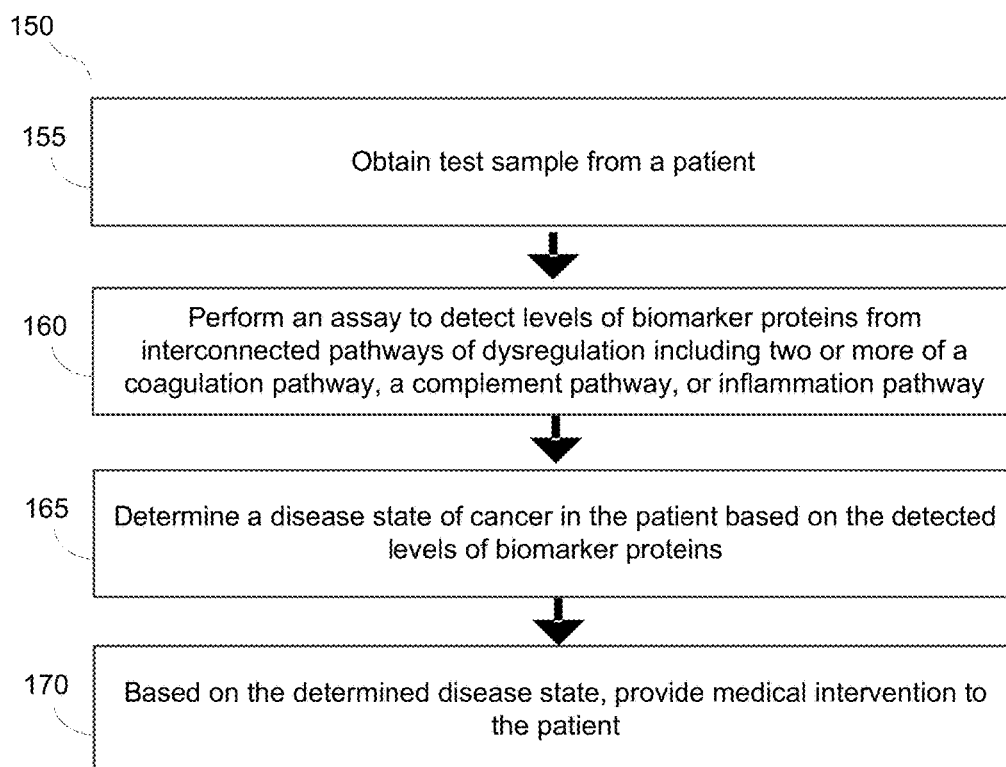


FIG. 1B

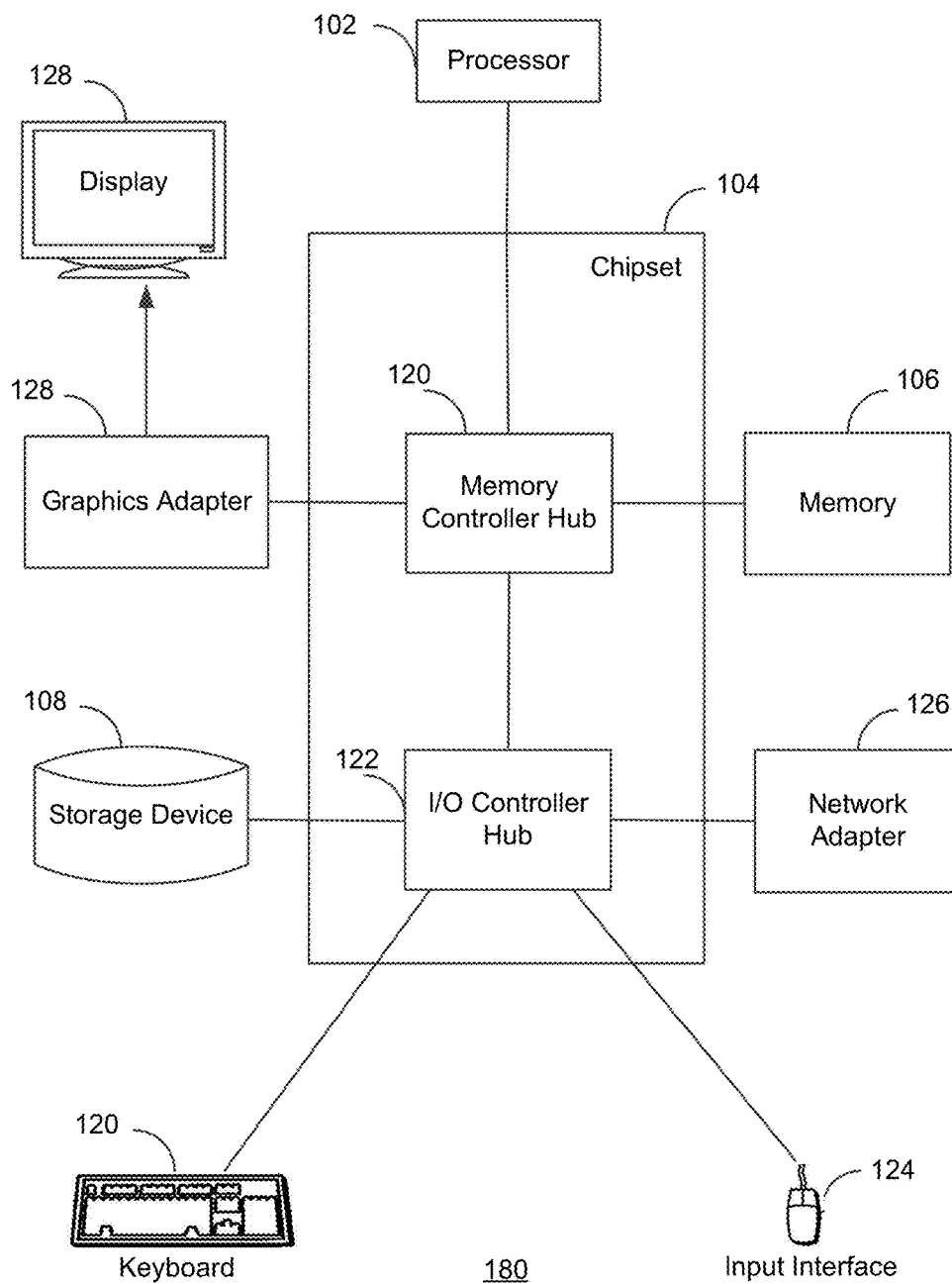


FIG. 1C

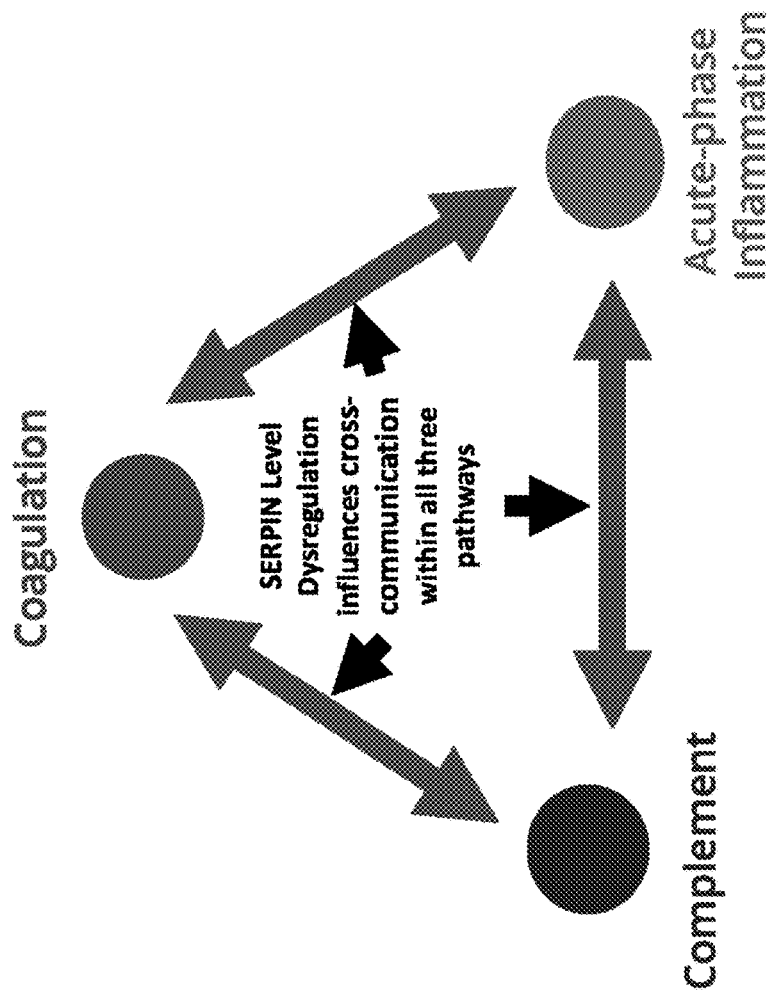


FIG. 2

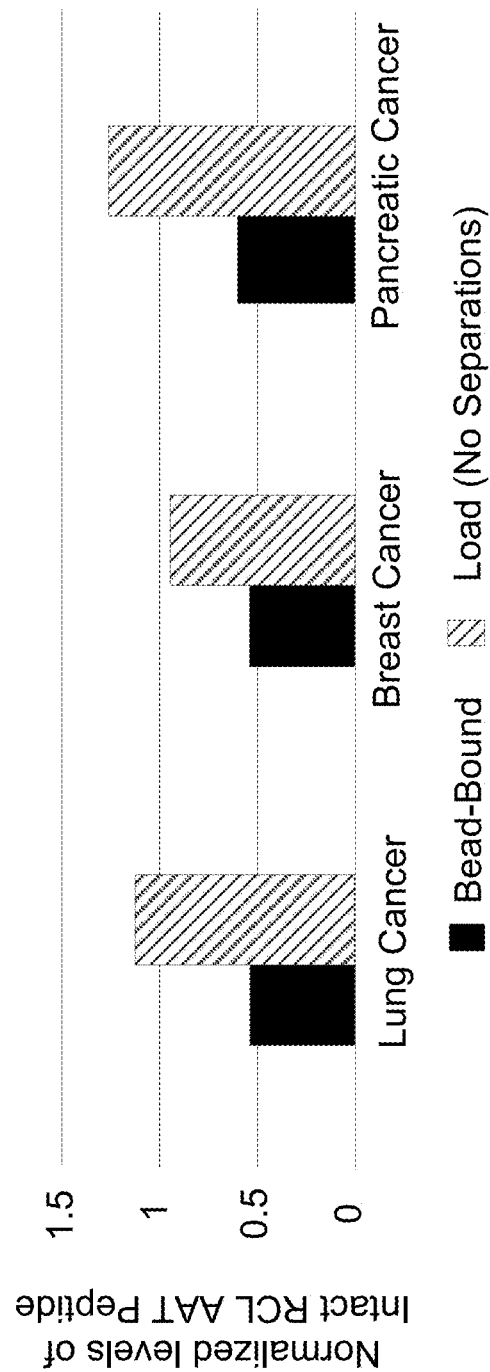


FIG. 3

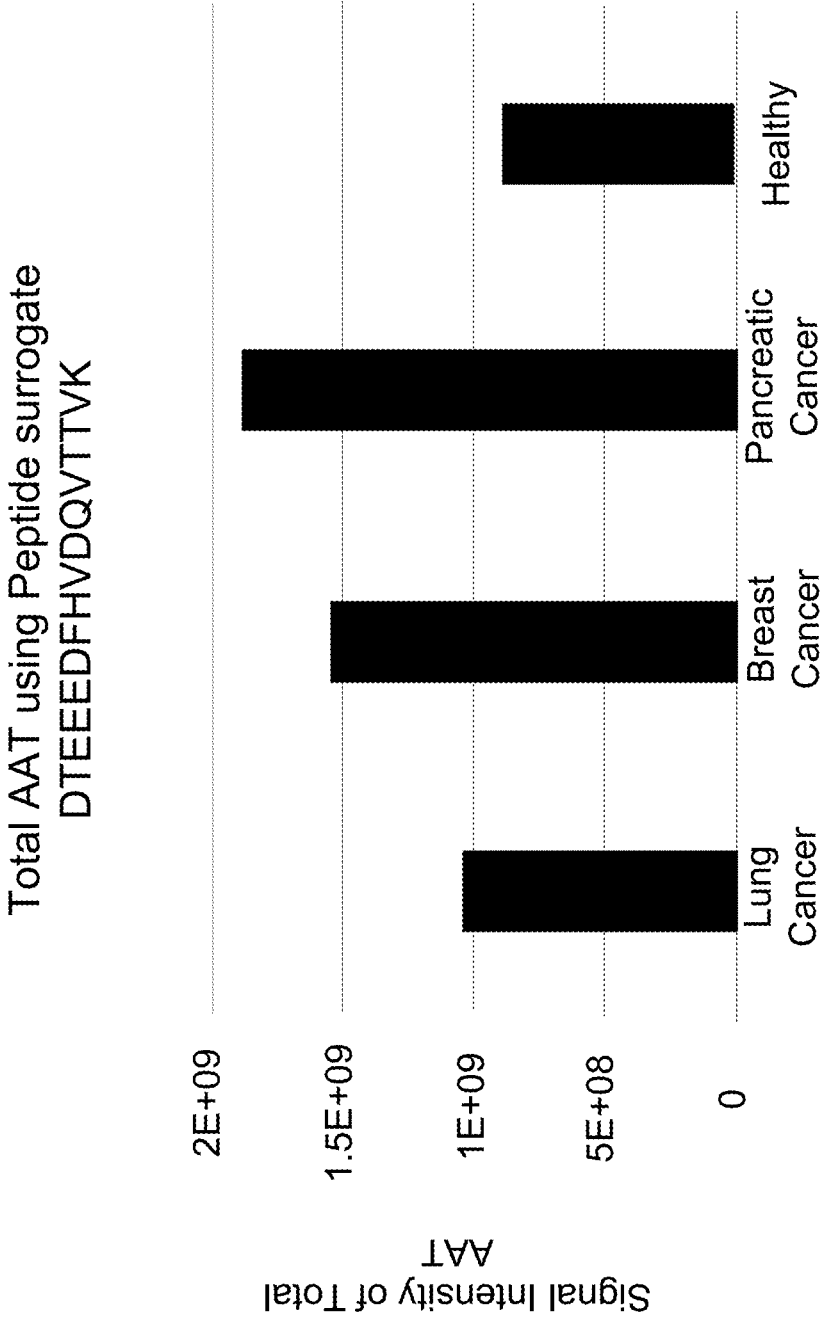


FIG. 4

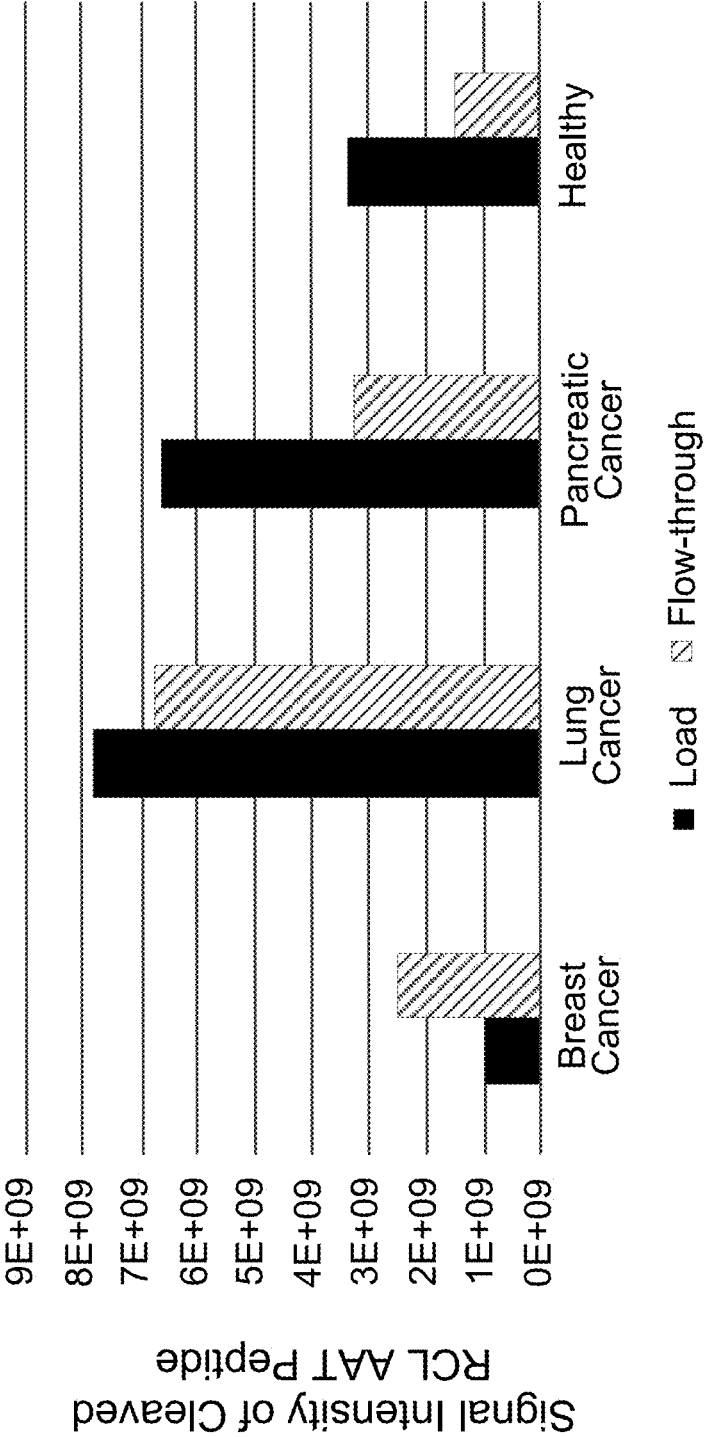


FIG. 5



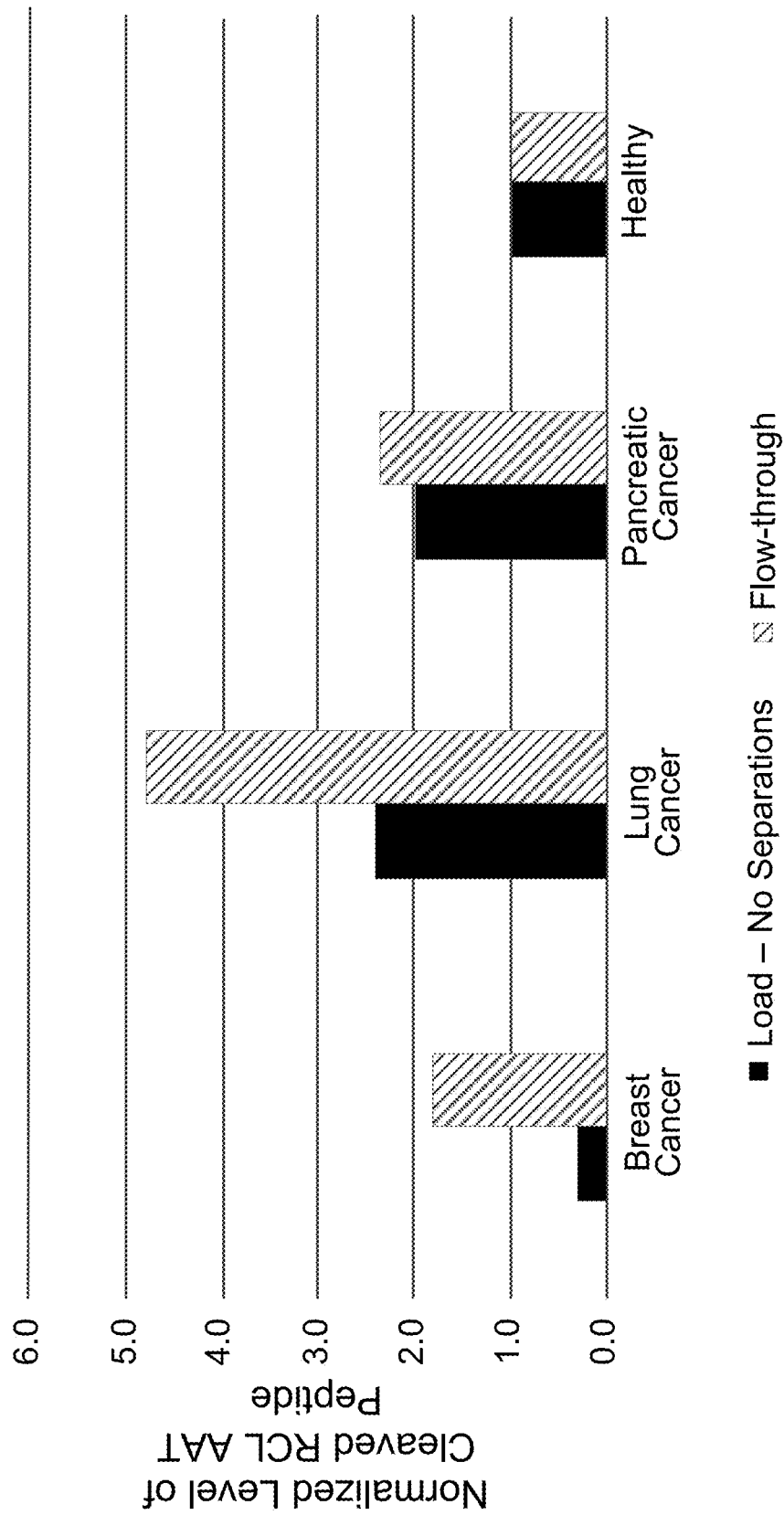


FIG. 6

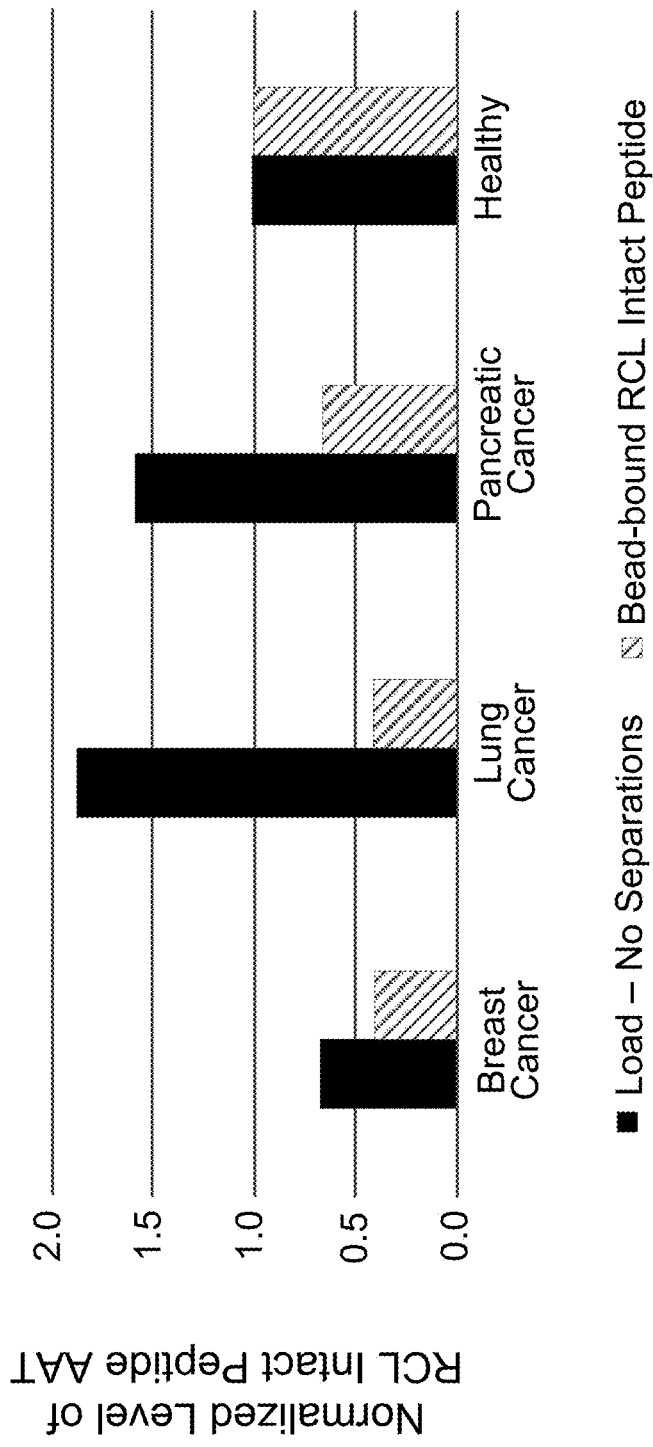


FIG. 7

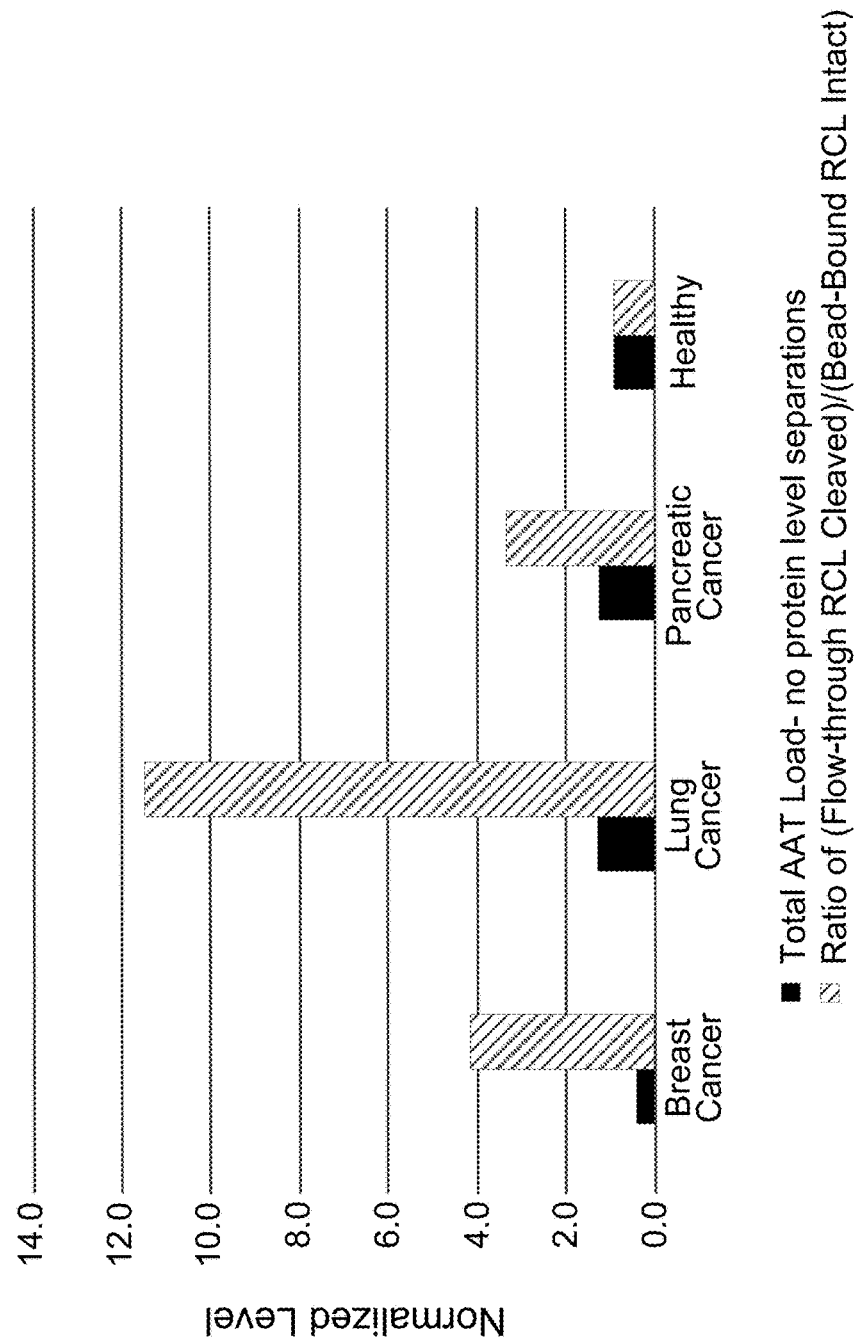


FIG. 8

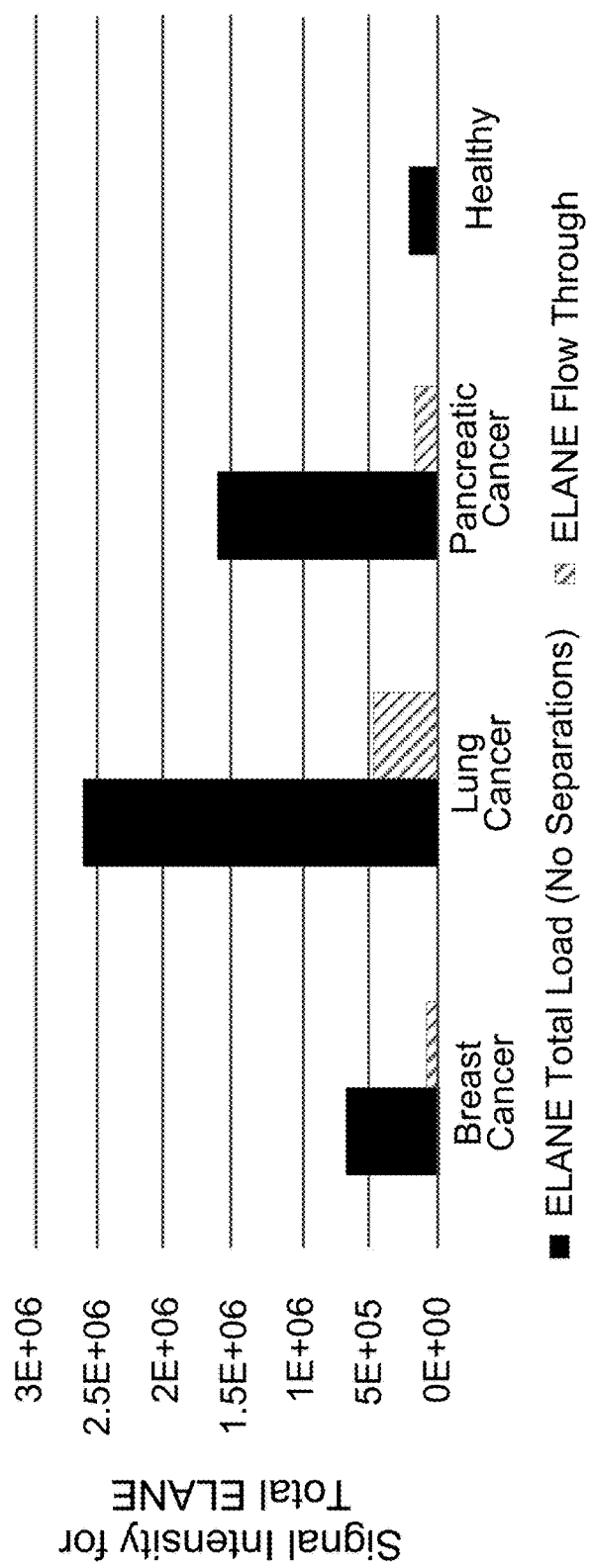


FIG. 9

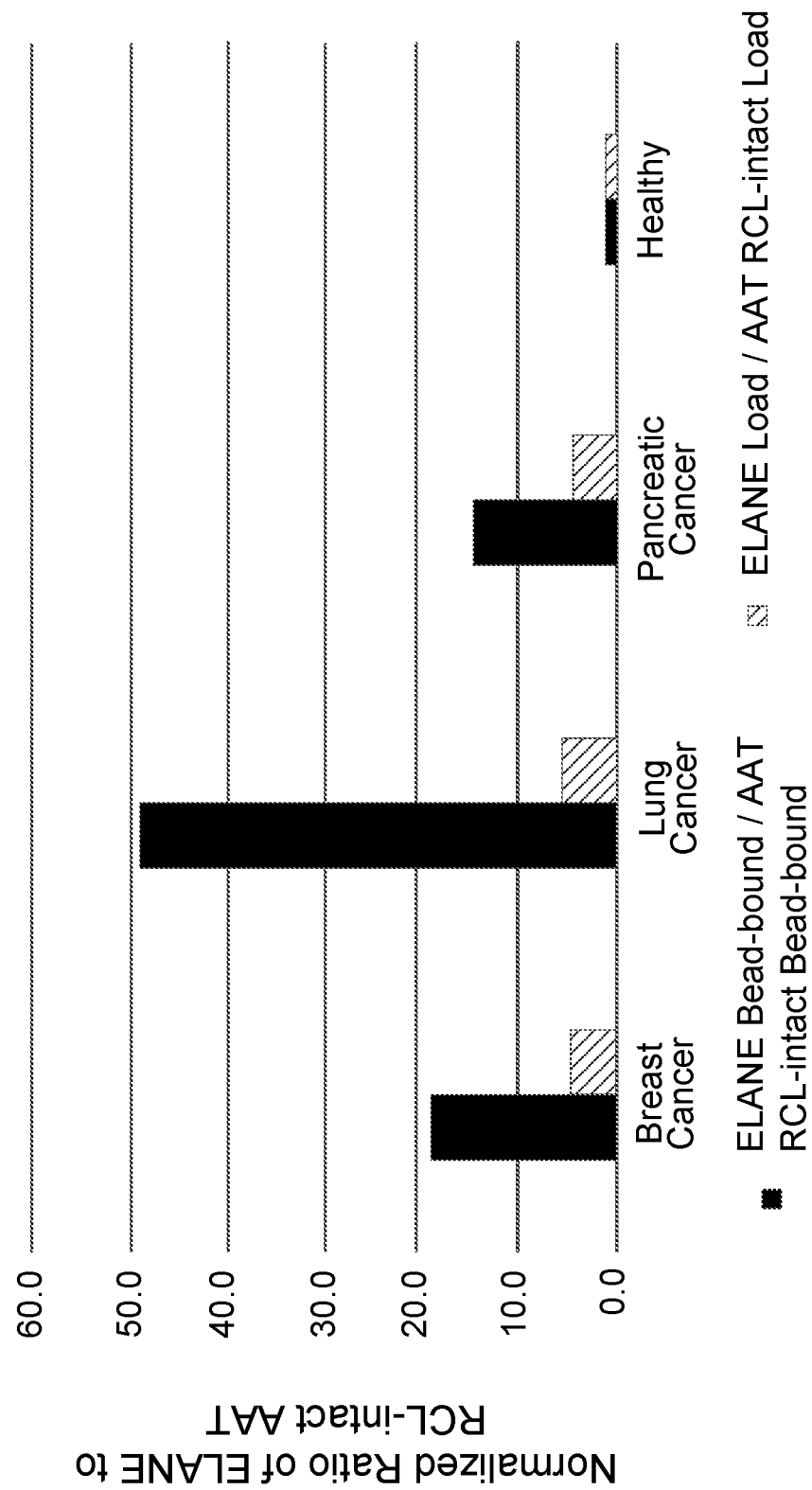


FIG. 10

# **MONITORING DYSREGULATED SERUM COMPLEMENT, COAGULATION, AND ACUTE-PHASE INFLAMMATION SUB-PROTEOMES ASSOCIATED WITH CANCER**

## **CROSS REFERENCE TO RELATED APPLICATIONS**

**[0001]** This application claims the benefit of U.S. Provisional Application No. 62/485,868, filed on Apr. 14, 2017, which is herein incorporated by reference in its entirety for all purposes.

## **BACKGROUND**

**[0002]** The proteome can include the entire set of proteins, produced or modified by a subject. Unlike the genome, the proteome varies with time and environmental stresses so that a subject's proteome is dynamic. Proteomics is an interdisciplinary field utilizing the basic genome information as a correlating attribute, while exploring and characterizing the vast information contained in protein composition, structure, and function. The advancements in genome sequencing has spawned efforts to utilize biopsy products and services that probe the proteome of a subject. These conventional techniques for characterizing cancers, examples of which include liquid or tumor biopsies, only focus on analyzing the tumor cell genome. Challenges remain as genomic instability is a fundamental hallmark of cancer cells. In many respects, genomic driven approaches suffer the problem of shooting after a moving target.

## **SUMMARY**

**[0003]** Described herein are methods that include obtaining a stroma liquid biopsy from a patient and detecting a pattern of dysregulation amongst the biomarkers in the stroma liquid biopsy that can be monitored to help screen, diagnose or treat the patient for cancer. The stroma liquid biopsy is informative for understanding the stroma microenvironment surrounding a cancer, which is a diverse milieu of soluble and membrane-bound proteins mediating multiple cellular components and biological processes. Reciprocal communication between tumor and healthy cells in the stroma microenvironment regulates the diverse components of the extracellular matrix, ultimately promoting tumor growth, survival and eventual colonization to metastatic sites. Certain tissue microenvironments may be especially hospitable to early disease or to new metastatic lesions. Consequently, metastatic potential is likely to depend upon some of the same supportive mechanisms in the microenvironments of the stroma for the hospitality needed for reseeding and colonization by circulating cancer cells from the primary tumor. As stromal cells within the microenvironments of tumors are genetically stable compared to tumor cells, their derivative proteomes may offer attractive therapeutic targets to help manage an often incurable disease and prolong survival. As such, any and all multi-parametric profiles that can help to monitor and/or stratify cancer patients for individual clinical situations will become desirable.

**[0004]** Here, several components of cancer dysregulation measurable from the tumor-associated stromal microenvironment commonly obtained from whole blood, either serum or plasma are identified. Embodiments of the inven-

tion disclose a pattern of biomarker levels detected in a patient's stroma liquid biopsy, which can be measured and modeled for the management and treatment of cancer patients, without regard to the primary tumor of origin, clinical stage of disease, or tumor burden. Embodiments of the invention are orthogonal to and complementary with, liquid biopsy technologies based on 'nextgen' sequencing, circulating tumor cells (CTCs), circulating DNA (ctDNA), circulating extracellular vesicles (exosomes), and tumor burden biomarkers (i.e. CEA, CA125). This new observational window can help generate a more comprehensive profile of progressive disease, providing opportunities in monitoring risk factors, early detection, prognosis, recurrence, and guidance for therapeutic decisions.

**[0005]** As disclosed herein, certain embodiments describe a method for treating a cancer subject, the method comprising: obtaining a dataset comprising levels of two or more biomarker proteins in a sample obtained from the cancer subject, the two or more biomarker proteins involved in two or more interconnected pathways of dysregulation or systemic regulation of the two or more interconnected pathways of dysregulation, the two or more interconnected pathways comprising a coagulation pathway, a complement pathway, and an acute-phase inflammation pathway; determining a disease state of the cancer based on the detected levels of the biomarker proteins; and based on the determined disease state of the cancer, administering a therapeutic compound that modulates one or more of the detected levels of the biomarker proteins towards corresponding levels of the biomarker proteins that are exhibited by healthy subjects, wherein biomarker proteins involved in the coagulation pathway comprise tissue inhibitor of metalloproteinases-1 (TIMP1), Pro-platelet basic protein (PPBP), thrombospondin 1 (THBS1), platelet Factor 4 (PF4), and an active subpopulation of heparin cofactor 2 (HEP2), wherein biomarker proteins involved in the complement pathway comprise complement (C3), complement component 4 binding protein alpha (C4BPA), properdin (PROP), wherein biomarker proteins involved in the acute-phase inflammation pathway comprise, Serum Amyloid 2 (SAA2), extracellular matrix protein 1 (ECM1), Neutrophil Elastase (ELANE), and chromogranin A (CMGA), wherein biomarker proteins involved in the systemic regulation of the coagulation, complement, and acute-phase inflammation pathways comprise one or more serine proteinase inhibitor (SERPIN) proteins.

**[0006]** In various embodiments, the one or more SERPIN proteins comprise alpha-1-antitrypsin (SERPINA1), wherein determining the disease state of the cancer based on the detected levels of the biomarker proteins comprises: determining a ratio of a detected level of an inactive subpopulation of SERPINA1 and a detected level of an active subpopulation of SERPINA1; and determining that the determined ratio is elevated in comparison to a corresponding ratio of a level of an inactive subpopulation of SERPINA1 and a level of an active subpopulation of SERPINA1 detected in samples obtained from healthy subjects, wherein the determined ratio is at least 3.5 times greater than the corresponding ratio detected in samples obtained from healthy subjects.

**[0007]** In various embodiments, the one or more SERPIN proteins are antichymotrypsin (SERPINA3), plasma protease C1 inhibitor (SERPING1), heparin cofactor II (SERPIND1), antithrombin III (SERPINC1), alpha-1-antitrypsin

(SERPINA1), kallistatin (SERPINA4), protein C inhibitor (SERPINA5), Z-dependent proteinase inhibitor (SERPINA10), and alpha-2-antiplasmin (SERPINF2). In various embodiments, determining the disease state of the cancer based on the detected levels of the biomarker proteins comprises determining a ratio of a detected level of an inactive subpopulation of one of the one or more SERPIN proteins to a level of an inactive subpopulation of the one SERPIN protein detected in samples obtained from healthy subjects. In various embodiments, determining the disease state of the cancer based on the detected levels of the biomarker proteins comprises determining that a detected level of an inactive subpopulation of a SERPIN protein is at least 1.5 times greater or 1.5 times less than a level of an inactive subpopulation of a SERPIN protein detected in samples obtained from healthy subjects.

**[0008]** In various embodiments, determining the disease state of the cancer based on the detected levels of the biomarker proteins comprises determining a ratio of a detected level of ELANE to one of a detected level of an inactive subpopulation of SERPINA1 or a detected level of an active subpopulation of SERPINA1. In various embodiments, the determined ratio of the detected level of ELANE to the detected level of the active subpopulation of SERPINA1 is at least 10 times greater than the corresponding ratio detected in samples obtained from healthy subjects.

**[0009]** In various embodiments determining the disease state of the cancer based on the detected levels of the biomarker proteins further comprises determining that the detected levels of one or more of THBS1, TIMP1, PPBP, or PF4 are elevated, and the active subpopulation of HEP2 is lower in comparison to corresponding levels detected in samples obtained from healthy subjects. In various embodiments, determining the disease state of the cancer based on the detected levels of the biomarker proteins further comprises determining that the detected levels of one or more of THBS1, TIMP1, PPBP, or PF4 are at least 10 times greater, and the active subpopulation HEP2 is at least 1.5 times lower in comparison to corresponding levels detected in samples obtained from healthy subjects.

**[0010]** In various embodiments, determining the disease state of the cancer based on the detected levels of the biomarker proteins further comprises determining that the detected levels of one or more of C3, C4BPA, or PROP are lower in comparison to corresponding levels detected in samples obtained from healthy subjects. In some embodiments, determining the disease state of the cancer based on the detected levels of the biomarker proteins further comprises determining that the detected levels of one or more of C3, C4BPA, or PROP are at least 1.5 times lower in comparison to corresponding levels detected in samples obtained from healthy subjects.

**[0011]** In various embodiments, determining the disease state of the cancer based on the detected levels of the biomarker proteins comprises determining that a detected level of one or more of SAA2, ECM1, ELANE, and CMGA are elevated in comparison to corresponding levels detected in samples obtained from healthy subjects. In some embodiments, determining the disease state of the cancer based on the detected levels of the biomarker proteins comprises determining that a detected level of one or more of SAA2 and ECM1 are elevated at least 1.5 times, ELANE is elevated at least 2 times, and CMGA is elevated at least 10

times in comparison to corresponding levels detected in samples obtained from healthy subjects.

**[0012]** As further disclosed herein, certain embodiments describe a method for determining or diagnosing presence of cancer or risk factors for cancer in a subject, the method comprising: obtaining a dataset comprising levels of two or more biomarker proteins in a sample obtained from the cancer subject, the two or more biomarker proteins involved in two or more interconnected pathways of dysregulation or systemic regulation of the two or more interconnected pathways of dysregulation, the two or more interconnected pathways comprising a coagulation pathway, a complement pathway, and an acute-phase inflammation pathway; determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins, wherein biomarker proteins involved in the coagulation pathway comprise tissue inhibitor of metalloproteinases-1 (TIMP1), Pro-platelet basic protein (PPBP), thrombospondin 1 (THBS1), platelet Factor 4 (PF4), and an active subpopulation of heparin cofactor 2 (HEP2), wherein biomarker proteins involved in the complement pathway comprise complement (C3), complement component 4 binding protein alpha (C4BPA), properdin (PROP), wherein biomarker proteins involved in the acute-phase inflammation pathway comprise, Serum Amyloid 2 (SAA2), extracellular matrix protein 1 (ECM1), Neutrophil Elastase (ELANE), and chromogranin A (CMGA), wherein biomarker proteins involved in the systemic regulation of the coagulation, complement, and acute-phase inflammation pathways comprise one or more serine proteinase inhibitor (SERPIN) proteins.

**[0013]** In various embodiments, the one or more SERPIN proteins comprise alpha-1-antitrypsin (SERPINA1), wherein determining or diagnosing presence of cancer or risk factors for in the subject based on the detected levels of the biomarker proteins comprises: determining a ratio of a detected level of an inactive subpopulation of SERPINA1 and a detected level of an active subpopulation of SERPINA1; and determining that the determined ratio is elevated in comparison to a corresponding ratio of a level of an inactive subpopulation of SERPINA1 and a level of an active subpopulation of SERPINA1 detected in samples obtained from healthy subjects, wherein the determined ratio is at least 3.5 times greater than the corresponding ratio detected in samples obtained from healthy subjects.

**[0014]** In various embodiments, the one or more SERPIN proteins are antichymotrypsin (SERPINA3), plasma protease C1 inhibitor (SERPING1), heparin cofactor II (SERPIND1), antithrombin III (SERPINC1), alpha-1-antitrypsin (SERPINA1), kallistatin (SERPINA4), protein C inhibitor (SERPINA5), Z-dependent proteinase inhibitor (SERPINA10), and alpha-2-antiplasmin (SERPINF2).

**[0015]** In various embodiments, determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining a ratio of a detected level of an inactive subpopulation of one of the one or more SERPIN proteins to a level of an inactive subpopulation of the one SERPIN protein detected in samples obtained from healthy subjects. In some embodiments, determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining a ratio of a detected level of an inactive subpopulation of one of the one or more SERPIN

proteins to a level of an inactive subpopulation of the one SERPIN protein detected in samples obtained from healthy subjects.

**[0016]** In various embodiments, determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining a ratio of a detected level of ELANE to one of a detected level of an inactive subpopulation of SERPINA1 or a detected level of an active subpopulation of SERPINA1. In some embodiments, wherein the determined ratio of the detected level of ELANE to the detected level of the active subpopulation of SERPINA1 is at least 10 times greater than the corresponding ratio detected in samples obtained from healthy subjects.

**[0017]** In various embodiments, determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining that the detected levels of one or more of THBS1, TIMP1, PPBP, or PF4 are elevated, and the active subpopulation of HEP2 is lower in comparison to corresponding levels detected in samples obtained from healthy subjects. In some embodiments, determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining that the detected levels of one or more of THBS1, TIMP1, PPBP, or PF4 are at least 10 times greater, and the active subpopulation of HEP2 is at least 1.5 times lower in comparison to corresponding levels detected in samples obtained from healthy subjects.

**[0018]** In various embodiments, determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining that the detected levels of one or more of C3, C4BPA, or PROP are lower in comparison to corresponding levels detected in samples obtained from healthy subjects. In some embodiments, determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining that the detected levels of one or more of C3, C4BPA, or PROP are at least 1.5 times lower in comparison to corresponding levels detected in samples obtained from healthy subjects.

**[0019]** In various embodiments, determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining that a detected level of one or more of SAA2, ECM1, ELANE and CMGA are elevated in comparison to corresponding levels detected in samples obtained from healthy subjects. In some embodiments, determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining that a detected level of one or more of SAA2 and ECM1 are elevated at least 1.5 times, ELANE is elevated at least 2 times, and CMGA is elevated at least 10 times in comparison to corresponding levels detected in samples obtained from healthy subjects.

#### BRIEF DESCRIPTION OF THE DRAWINGS

**[0020]** The accompanying drawing(s), which are incorporated in and constitute a part of this specification, illustrate several aspects described below.

**[0021]** FIG. 1A depicts an overall environment for characterizing a cancer state in a cancer patient, in accordance with an embodiment.

**[0022]** FIG. 1B depicts a flow process of characterizing a cancer state in a cancer patient and treating the cancer patient based on the characterized cancer state, in accordance with an embodiment.

**[0023]** FIG. 1C depicts an example computer, in accordance with an embodiment.

**[0024]** FIG. 2 depicts the interplay between SERPIN proteins in three interconnected pathways.

**[0025]** FIG. 3 depicts quantified levels of bead bound active AAT and total AAT load in lung, breast, and pancreatic cancers.

**[0026]** FIG. 4 depicts signal intensity representing total AAT load detected through a protein surrogate in patients with breast, lung, or pancreatic cancer.

**[0027]** FIG. 5 depicts signal intensity representing total AAT load and flow-through inactive AAT in patients with breast, lung, or pancreatic cancer.

**[0028]** FIG. 6 depicts normalized levels of total AAT load and inactive AAT in patients with breast, lung, or pancreatic cancer in relation to healthy patients.

**[0029]** FIG. 7 depicts normalized levels of total AAT load and bead-bound active AAT in patients with breast, lung, or pancreatic cancer in relation to healthy patients.

**[0030]** FIG. 8 depicts a normalized total AAT load and normalized ratios of inactive AAT to active AAT in patients with breast, lung, or pancreatic cancer in relation to healthy patients.

**[0031]** FIG. 9 depicts signal intensity representing total Neutrophil Elastase in patients with breast, lung, or pancreatic cancer or healthy patients.

**[0032]** FIG. 10 depicts normalized ratios of Neutrophil Elastase to bead-bound active AAT in patients with breast, lung, or pancreatic cancer in relation to healthy patients.

#### DETAILED DESCRIPTION

##### 1.1. Definitions

**[0033]** In general, terms used in the claims and the specification are intended to be construed as having the plain meaning understood by a person of ordinary skill in the art. Certain terms are defined below to provide additional clarity. In case of conflict between the plain meaning and the provided definitions, the provided definitions are to be used.

**[0034]** The detailed description of the invention is divided into various sections only for the reader's convenience, and disclosure found in any section may be combined with that in another section. Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs.

**[0035]** Unless specifically stated or apparent from context, as used herein the term "or" is understood to be inclusive.

**[0036]** Unless specifically stated or apparent from context, as used herein, the terms "a", "an", and "the" are understood to be singular or plural. That is, the articles "a" and "an" are used herein to refer to one or more than one (i.e., to at least one) of the grammatical object of the article. By way of example, "an element" means one element or more than one element.

**[0037]** In this disclosure, "comprises," "comprising," "containing," "having," "includes," "including," and lin-



guistic variants thereof have the meaning ascribed to them in U.S. patent law, permitting the presence of additional components beyond those explicitly recited.

**[0038]** Unless specifically stated or otherwise apparent from context, as used herein the term “about” or “approximately” is understood as within a range of normal tolerance in the art, for example within 2 standard deviations of the mean and is meant to encompass variations of  $\pm 20\%$  or  $\pm 10\%$ , more preferably  $\pm 5\%$ , even more preferably  $\pm 1\%$ , and still more preferably  $\pm 0.1\%$  from the stated value.

**[0039]** The terms “marker,” “markers,” “biomarker,” and “biomarkers” encompass, without limitation, lipids, lipoproteins, proteins, cytokines, chemokines, growth factors, peptides, nucleic acids, genes, and oligonucleotides, together with their related complexes, metabolites, mutations, variants, polymorphisms, modifications, fragments, subunits, degradation products, elements, and other analytes or sample-derived measures. A marker can also include mutated proteins, mutated nucleic acids, variations in copy numbers, and/or transcript variants, in circumstances in which such mutations, variations in copy number and/or transcript variants are useful for generating a predictive model, or are useful in predictive models developed using related markers (e.g., non-mutated versions of the proteins or nucleic acids, alternative transcripts, etc.).

**[0040]** The term “mammal” encompasses both humans and non-humans and includes but is not limited to humans, non-human primates, canines, felines, murines, bovines, equines, and porcines.

**[0041]** The term “sample” can include a single cell or multiple cells or fragments of cells or an aliquot of body fluid, such as a blood sample, taken from a subject, by means including venipuncture, excretion, ejaculation, massage, biopsy, needle aspirate, lavage sample, scraping, surgical incision, or intervention or other means known in the art.

**[0042]** The term “subject” encompasses a cell, tissue, or organism, human or non-human, whether in vivo, ex vivo, or in vitro, male or female.

**[0043]** The term “obtaining a dataset” encompasses obtaining a set of data determined from at least one sample. Obtaining a dataset encompasses obtaining a sample, and processing the sample to experimentally determine the data. The phrase also encompasses receiving a set of data, e.g., from a third party that has processed the sample to experimentally determine the dataset. Additionally, the phrase encompasses mining data from at least one database or at least one publication or a combination of databases and publications. A dataset can be obtained by one of skill in the art via a variety of known ways including stored on a storage memory.

**[0044]** The term “proteome” encompasses a conformation variant of the full length polypeptide sequence because of post-translational modification. In this disclosure, proteome describes protein variants as they relate to the hydrolysis of amino acids at specific amino acid sequences, or cleavage sites of the full length protein.

**[0045]** The term “RCL” or “Reactive Centre Loop” within the SERPIN family of protease inhibitors, describes a specific amino acid region about 6-20 amino acids in length that covalently interacts with a protease substrate, or is nevertheless hydrolyzed or cleaved at specific sites within the region.

**[0046]** In this disclosure the Uniprot.org database annotations of biomarker proteins are adopted in parentheses.

## 1.2. Methods for Determining a Cancer Disease State in a Subject

**[0047]** Disclosed herein are methods for determining a cancer disease state in a subject. In accordance with one embodiment, FIG. 1A depicts an overview environment **100** for determining a cancer disease state in a subject. The environment **100** provides context in order to introduce a patient **110**, biomarker quantification assay **112**, a biomarker analysis **114**, and a cancer disease state **116**. Additional reference will be made to FIG. 1B, which depicts an example flow process **150** for determining a cancer disease state in a subject.

**[0048]** At step **155** shown in FIG. 1B, a test sample is obtained from a patient **110**. The test sample can be obtained by the patient **110** or by a third party, e.g., a medical professional. Examples of medical professionals include physicians, emergency medical technicians, nurses, first responders, psychologists, medical physics personnel, nurse practitioners, surgeons, dentists, and any other obvious medical professional as would be known to one skilled in the art. The sample can be obtained from any bodily fluid, for example, amniotic fluid, aqueous humor, bile, lymph, breast milk, interstitial fluid, blood, blood plasma, cerumen (earwax), Cowper’s fluid (pre-ejaculatory fluid), chyle, chyme, female ejaculate, menses, mucus, saliva, urine, vomit, tears, vaginal lubrication, sweat, serum, semen, sebum, pus, pleural fluid, cerebrospinal fluid, synovial fluid, intracellular fluid, and vitreous humour. In an example, the test sample is obtained by a blood draw, where the medical professional draws blood from a subject, such as by a syringe.

**[0049]** Step **160** includes the performance of an assay, hereafter generally referred to as a biomarker quantification assay **112**, to detect levels of biomarker proteins that are involved in interconnected pathways of dysregulation, examples of which include the coagulation pathway, acute-inflammation pathway, complement pathway, or glycolysis pathway. The biomarker quantification assay **112** determines quantitative values of one or more biomarkers from a test sample obtained from the patient **110**. In particular embodiments, the biomarker quantification assay **112** is a liquid chromatography-mass spectrometry (LC-MS) assay or a liquid chromatography-tandem mass spectrometry (LC-MS/MS). In other embodiments, as discussed in further detail below, other types of assays are applied to determine quantitative values of one or more biomarkers from the test sample. Biomarkers involved in one or more dysregulated pathways of cancer are described in further detail below in relation to FIG. 2.

**[0050]** At step **165**, a disease state of the cancer in the patient is determined based on the detected levels of biomarker proteins. For example, referring to FIG. 1A, the biomarker level analysis **114** evaluates the quantitative biomarker levels of the biomarkers determined by the biomarker quantification assay **112** and outputs a cancer disease state **116** (e.g., interchangeably referred to as a “disease state of the cancer”). In various embodiments, the biomarker level analysis **114** includes a prediction model that is executed by one or more processors of a computer X, an example of which is shown below with respect to FIG. X. In these embodiments, the prediction model outputs the cancer disease state **116**.

**[0051]** In various embodiments, the cancer disease state **116** refers to a disease state of the cancer in the patient **110**. Such a disease state can be in relation to or independent of

a primary tumor of origin, a clinical stage of disease, or tumor burden. In various embodiments, the disease state of the cancer is one of a presence or absence of cancer in the patient **110**. In various embodiments, the disease state of the cancer is a severity (e.g., a grade) of a cancer in the patient **110**. In various embodiments, the disease state of the cancer refers to the likelihood that the patient **110** develops cancer in the future. In various embodiments, the disease state of the cancer refers to the presence of risk factors in the patient **110** that contribute towards a likelihood of developing cancer. In various embodiments, the disease state of the cancer refers to a stratification of the patient based on the quantitative biomarker levels detected in the test sample obtained from the patient. In various embodiments, the cancer disease state **116** output by the prediction model is a total score that represents a disease state of the cancer in the patient **110**. For example, the prediction model may output a total score that represents one of how likely the cancer is present in the patient **110**, a likelihood that the patient **110** develops cancer, or a likelihood of the presence of risk factors in the patient **110**. As another example, the prediction model may output a total score that represents whether the patient **110** should be categorized in a particular stratification.

**[0052]** At step **170**, based on the determined cancer disease state **116**, the patient **110** can be provided medical intervention. For example, based on a detected presence of cancer in the patient **110**, the patient **110** can be administered a therapeutic that treats the cancer in the patient **110**. As another example, based on a stratification of the patient, the patient **110** can be administered a therapeutic that is deemed appropriate for patients categorized in the stratification. In one embodiment, the patient **110** can be administered a therapeutic that modulates levels of biomarkers that are expressed by the patient. For example, the therapeutic can modulate the levels of biomarkers that are involved in one or more dysregulated pathways of cancer, examples of which include the coagulation, acute-inflammation, complement, or glycolysis pathways.

#### **[0053]** 1.2.1. Biomarker Quantification Assay

**[0054]** In particular embodiments, an assay used for detecting quantitative levels of one or more biomarkers involved in dysregulated pathways related to cancer is a Liquid Chromatography coupled to Mass Spectrometry (LC-MS or LC-MS/MS) assay. In various embodiments, examples of assays for detecting quantitative levels of one or more biomarkers include two dimensional polyacrylamide gel electrophoresis (2DPAGE & 2D-DIGE), surface or matrix enhanced laser desorption/ionization time of flight (SELDI-ToF, MALDI-ToF), protein antibody-capture arrays, multidimensional protein identification technology (MudPIT), microarrays, high performance liquid chromatography (HPLC), enzymatic assays, functional activity assays, antibody-binding assays, enzyme-linked immunosorbent assays (ELISAs), flow cytometry, protein assays, Western blots, nephelometry, turbidimetry, chromatography, mass spectrometry, immunoassays, including, by way of example, but not limitation, RIA, immunofluorescence, immunochemiluminescence, immunoelectrochemiluminescence, or competitive immunoassays. The information can also be qualitative, such as observing patterns or fluorescence, which can be translated into a quantitative measure by a user or automatically by a reader or computer system.

**[0055]** Various immunoassays designed to quantitate markers can be used in screening including multiplex assays. Measuring the concentration of a target marker in a sample or fraction thereof can be accomplished by a variety of specific assays. For example, a conventional sandwich type assay can be used in an array, ELISA, RIA, etc. format. Other immunoassays include Ouchterlony plates that provide a simple determination of antibody binding. Additionally, Western blots can be performed on protein gels or protein spots on filters, using a detection system specific for the markers as desired, conveniently using a labeling method.

**[0056]** In various embodiments, protein based analysis, which employs an antibody that specifically binds to a polypeptide (e.g. marker), can be used to quantify the marker level in a test sample obtained from an individual. For multiplex analysis of markers, arrays containing one or more marker affinity reagents, e.g. antibodies can be generated. Such an array can be constructed comprising antibodies against markers. Detection can utilize one or a panel of marker affinity reagents, e.g. a panel or cocktail of affinity reagents specific for one, two, three, four, five or more markers.

**[0057]** In particular embodiments, the biomarker quantification assay further includes a protein level separation technique. Such a protein level separation technique can be performed prior to performing an assay for detecting quantitative levels of one or more biomarkers. In one embodiment, a protein level separation technique can include the employment of an albumin depletion kit, an example of which is the ALBUVOID LC-MS On-Bead for Serum Proteomics. The protein level separation technique can enrich low concentration biomarkers by depleting masking proteins such as albumin. Thus, in various embodiments, protein level separation of a sample can be performed using the ALBUVOID on-bead reagent kit to obtain a bead bound subpopulation and a flow-through (e.g., not bound to a bead) subpopulation. Here, the bead-bound subpopulation can represent proteins, an example of which is an active subpopulation of AAT, that exhibit a binding bias towards the ALBUVOID bead. The bead bound subpopulation can be later obtained by performing trypsin digestion of the bead bound proteins. Additionally, the flow-through subpopulation can represent proteins, an example of which is an inactive subpopulation of AAT, that do not exhibit a binding bias towards the ALBUVOID bead. The trypsinized bead bound subpopulation and the flow-through subpopulation can be separately quantified using the aforementioned assays (e.g., LC-MS or LC-MS/MS) to determine concentrations of particular biomarkers in each subpopulation.

#### **[0058]** 1.2.2. Biomarkers

**[0059]** In some embodiments, the quantitative levels of one or more biomarkers are detected from a sample obtained from an individual. The values of one or more markers can be indicated as a numerical value. The numerical values can be obtained, for example, by experimentally obtaining measures from a sample obtained from an individual by an assay (e.g., an LC-MS assay) performed in a laboratory. Alternatively, numerical values of biomarkers can be included in a dataset obtained from a service provider such as a laboratory, or from a database or a server on which the dataset has been stored, e.g., on a storage memory. In an embodiment, numerical values of two, three, four, or more biomarkers can be included in the dataset associated with a test sample

obtained from a subject. Such quantitative biomarker levels can then be used to predict a cancer disease state **116**.

**[0060]** In some embodiments, the quantitative levels of one or more markers can be quantitative expression values of a first subpopulation of a SERPIN protein and a second subpopulation of a SERPIN protein. This is contrary to prior efforts that focus on detecting the AAT population as a whole (as opposed to subpopulations). Examples of a first subpopulation and a second population can be an active conformation and an inactive conformation of a SERPIN protein. In particular embodiments, the quantitative levels of one or more markers can be: a total population of Alpha-1-antitrypsin (AAT), an active subpopulation of AAT, an inactive subpopulation of AAT, and neutrophil elastase (ELANE). As used hereafter, an active subpopulation of AAT refers to AAT with an intact reactive centre loop (RCL) whereas an inactive subpopulation of AAT refers to AAT with a cleaved RCL.

**[0061]** In an embodiment, the quantity of one or more markers can be one or more quantitative expression values of: Tissue Inhibitor of Metalloproteinases 1 (TIMP1), Pro-platelet Basic Protein (PPBP), Platelet Factor 4 (PF4), Thrombospondin 1 (THBS1), Heparin Cofactor II (SERPIND1, also referred to as HEP2), Extracellular Matrix Protein 1 (ECM1), Complement Component 3 (C3), C4b-binding protein alpha chain (C4BPA), Complement Factor Properdin (CFP), Serum Amyloid A2 (SAA2), Chromogranin-A (CHGA), Fibronectin (FN1), Pregnancy Zone Protein (PZP), Antichymotrypsin (SERPINA3), Plasma Protease C1 Inhibitor (SERPING1), Antithrombin ATIII (SERPINC1), Kallistatin (SERPINA4), Protein C Inhibitor (SERPINA5), Z-dependent proteinase inhibitor (SERPINA10),  $\alpha$ -2-antiplasmin (SERPINF2), inter alpha trypsin inhibitor heavy chain H1 (ITIH1), ITIH heavy chain H2 (ITIH2), ITIH heavy chain H3 (ITIH3), ITIH heavy chain H4 (ITIH4), Apolipoprotein A1 (APOA1), Apolipoprotein C III (APOC3), C-reactive protein (CRP), Clusterin (CLU), Polymeric immunoglobulin receptor (PIGR), Neutrophil-activating peptide 2 (NAP-2), complement component 1q (C1q), complement 1 (C1), complement C2 (C2), complement C4(a) subunit (C4a), complement C5 (C5), Transthyretin (TTR), Angiotensinogen (AGT), Carboxypeptidase N (CPN1), Immunoglobulin lambda variable 3-9 (IGLV3-9), immunoglobulin Heavy Variable 1/OR15-1 (IGHV1OR15-1), Immunoglobulin Heavy Variable 3-53 (IGHV3-53), Immunoglobulin Kappa Variable 1D-33 (IGKV1D-33), Sex hormone binding globulin (SHBG), Semaphorin 3D (SEMA3D), Cilia- and flagella-associated protein 61 (CFAP61), Phosphofructokinase 1 (PFKM), Sprouty related EVH1 domain containing 2, (SPRED2), Chromosome 18 Open Reading Frame 63 (C18orf63), Immunoglobulin Lambda Variable 3-27 (IGLV3-27), kininogen 1 (KNG1), kallikreins (KLKB1), and prostate specific antigen (PSA). Markers can also include those listed in the Tables and Figures.

**[0062]** In various embodiments, the quantity of one or more biomarkers can be one or more quantitative expression values of biomarkers that are involved in multiple dysregulated pathways of cancer. As an example, FIG. 2 depicts the interplay between SERPIN proteins in three interconnected pathways including the coagulation pathway, inflammation pathway, complement pathway. Specifically, SERPIN proteins are involved in the systemic regulation of each of the coagulation pathway, inflammation pathway, and comple-

ment pathway. In other words, the SERPIN proteins play a role in modulating and/or maintaining levels of different biomarkers that are involved in the coagulation pathway, inflammation pathway, and complement pathways. The SERPIN proteins include SERPINA3, SERPING1, SERPIND1, SERPINC1, SERPINA4, SERPINA5, SERPINA10, SERPINF2, SERPINA1, as well as their various subforms (e.g., active SERPINA1 and/or inactive SERPINA1). In various embodiments, additional interconnected, dysregulated pathways than shown in FIG. 2 may be involved in cancer and therefore, can be monitored through additional biomarkers than shown in FIG. 2.

**[0063]** Referring first to the coagulation pathway, it is the process by which blood changes from a liquid to a gel, forming a clot. That the coagulation system conspires in support of cancer progression serves to illustrate a normal homeostatic function being dysregulated in cancer pathogenesis. In various embodiments, the biomarker proteins involved in the pattern of dysregulation in the coagulation pathway include, but are not limited to, tissue inhibitor of metalloproteinases-1 (TIMP1), Pro-platelet basic protein (PPBP), thrombospondin 1 (THBS1), platelet Factor 4 (PF4), and an active subpopulation of heparin cofactor 2 (HEP2), Kininogen 1 (KNG1), Angiotensinogen (AGT), and C1 inhibitor (SERPING1). Kininogen has two splice variant isoforms, low molecular weight (LMWK) and high molecular weight (HMWK) derived from the same KNG1 gene. LMWK is not involved with coagulation whereas HMWK kininogen is implicated in the coagulation pathway.

**[0064]** While the activation of platelets is a downstream event, the coagulation cascade has two initial pathways which ultimately leads to the final clot formation. These are the contact system pathway (also known as the intrinsic pathway), and the tissue factor pathway (also known as the extrinsic pathway) which both activate the final common pathway of factor X, thrombin and fibrin. Plasma kallikrein is a protease involved in the contact system coagulation cascade.

**[0065]** Referring to the complement pathway, it is part of the innate immune system which, in contrast to the adaptive immune system, does not change over the course of an individual's lifetime. The complement system consists of a number of proteins found in the blood and normally circulating as inactive precursors (zymogens or pro-proteins). Complement system proteins are of high abundance in blood serum and play a role in normal homeostasis. Dysregulated complement activation can thus play a significant role in diseased cancer conditions. Biomarkers involved in the complement pathway include, but are not limited to, a functional sub-population of C1 Inhibitor, also known as Plasma Protease C1 Inhibitor (SERPING1), Complement C1 (C1), Complement (C2), Complement (C3), complement component 4 binding protein alpha (C4BPA), properdin (PROP), Complement C4a (C4a), Complement 5 (C5), and Carboxypeptidase N (CPN1).

**[0066]** Referring to the acute-phase inflammation pathway, inflammatory responses regulate many aspects of tumor development. More specifically, inflammation plays a role throughout tumorigenesis, from initiation all the way to metastatic progression. Acute-phase inflammatory proteins include, but are not limited to, Serum Amyloid 2 (SAA2), extracellular matrix protein 1 (ECM1), chromogranin A (CMGA), Inter-alpha-trypsin inhibitor heavy chain H4 (ITIH4), neutrophil activating peptide-2 (NAP-2), SERPIN

proteins (e.g., active/inactive populations of AAT) as well as neutrophil elastase (ELANE).

**[0067]** 1.2.3. Prediction Model

**[0068]** In various embodiments, the prediction model, which is executed by a processor of a computer, performs the biomarker level analysis **114** (see FIG. 1A) and outputs a cancer disease state **116** based on the quantitative levels of one or more biomarkers detected by the biomarker quantification assay **112**.

**[0069]** In various embodiments, the prediction model is trained using training data that includes quantitative values of biomarker levels obtained from samples derived from patients with known cancer. In various embodiments, the prediction model is trained using training data that includes quantitative values of biomarker levels obtained from samples derived from healthy patients. Therefore, the prediction model is trained to accurately characterize a cancer disease state based on quantitative biomarker values. When the prediction model is deployed (e.g., when the cancer disease state is to be characterized in patient **110**), the prediction model is applied to quantitative biomarker values detected by the biomarker quantification assay **112** from a sample obtained from the patient **110** to generate the predicted cancer disease state **116** for the patient **110**.

**[0070]** In various embodiments, the prediction model performs multiple evaluations, each evaluation investigating the quantitative levels of one or more biomarkers. For each evaluation, the prediction model assigns an initial score to the evaluation. The prediction model combines the initial scores across different evaluations and outputs a cancer disease state **116** based on the combined initial scores. In various embodiments, the multiple evaluations includes the evaluation of different biomarkers that are involved in one, two, three, or more interconnected, dysregulated pathways (e.g., one or more of complement pathway, acute-phase inflammation pathway, coagulation pathway, or glycolysis pathway).

**[0071]** In various embodiments, evaluating quantitative levels of one or more biomarkers includes determining a ratio between a quantitative level of a biomarker (or a subpopulation of a biomarker) in the sample and a corresponding quantitative level of the biomarker (or the subpopulation of the biomarker) determined in healthy samples. As an example, the determined ratio can be between the quantitative level of the THBS1 biomarker obtained from the sample and the average quantitative level of the THBS1 biomarker determined in healthy samples. Such a determined ratio can be expressed as:

$$\text{Ratio} = \frac{\text{Biomarker}_{\text{sample}}}{\text{Biomarker}_{\text{healthy}}}$$

where Biomarker<sub>sample</sub> refers to the quantitative level of the biomarker determined in the sample and where Biomarker<sub>healthy</sub> refers to the quantitative level of the biomarker determined in healthy samples.

**[0072]** In some embodiments, evaluating the quantitative level of one or more biomarkers includes determining a ratio between a quantitative level of a subpopulation of a biomarker in the sample and a corresponding quantitative level of the corresponding subpopulation of the biomarker determined in healthy samples. As an example, the determined ratio can be between the quantitative level of a subpopula-

tion of active or inactive SERPIN (e.g., AAT) determined from the sample and the average quantitative level of active or inactive SERPIN (e.g., AAT), respectively, that is determined in healthy samples. Such a determined ratio can be expressed as:

$$\text{Ratio} = \frac{\text{Biomarker Subpopulation}_{\text{sample}}}{\text{Biomarker Subpopulation}_{\text{healthy}}}$$

where Biomarker Subpopulation<sub>sample</sub> refers to the quantitative level of the subpopulation of the biomarker determined in the sample and where Biomarker Subpopulation<sub>healthy</sub> refers to the quantitative level of the subpopulation of the biomarker determined in healthy samples.

**[0073]** In some embodiments, evaluating the quantitative level of one or more biomarkers includes determining an initial ratio between a quantitative level of a first biomarker in the sample and a quantitative level of a second biomarker in the sample. As an example, the determined ratio can be between the quantitative level of ELANE determined from the sample and the quantitative level of a SERPIN (e.g., AAT) in the sample. Such a determined ratio can be expressed as:

$$\text{Initial Ratio}_{\text{sample}} = \frac{\text{First Biomarker}_{\text{sample}}}{\text{Second Biomarker}_{\text{sample}}}$$

where First Biomarker<sub>sample</sub> refers to the quantitative level of the first biomarker determined in the sample and where Second Biomarker<sub>sample</sub> refers to the quantitative level of the second biomarker determined in the sample.

**[0074]** Next, the predictive model can determine a ratio between the initial ratio in the sample and the corresponding initial ratio determined in healthy samples. An example of this ratio can be expressed as:

$$\text{Ratio} = \frac{\text{Initial Ratio}_{\text{sample}}}{\text{Initial Ratio}_{\text{healthy}}}$$

where Initial Ratio<sub>healthy</sub> further refers to the ratio between the first biomarker determined in healthy samples (e.g., First Biomarker<sub>healthy</sub>) and the second biomarker determined in healthy samples (e.g., Second Biomarker<sub>healthy</sub>).

**[0075]** In some embodiments, evaluating the quantitative level of one or more biomarkers includes determining an initial ratio between a quantitative level of a first subpopulation of a biomarker in the sample and a quantitative level of a second subpopulation of a biomarker in the sample. For example, the initial ratio may be between the quantitative level of an inactive subpopulation of SERPIN (e.g., AAT) in the sample and a quantitative level of a second subpopulation of the SERPIN (e.g., AAT). An example of the initial ratio for the sample can be expressed as:

$$\text{Initial Ratio}_{\text{sample}} = \frac{\text{Biomarker First Subpopulation}_{\text{sample}}}{\text{Biomarker Second Subpopulation}_{\text{sample}}}$$

where Biomarker First Subpopulation<sub>sample</sub> refers to the quantitative level of the first subpopulation of the biomarker determined in the sample and where Biomarker Second Subpopulation<sub>sample</sub> refers to the quantitative level of the second subpopulation of the biomarker determined in the sample.

**[0076]** Next, the predictive model can determine a ratio between the initial ratio in the sample and the corresponding initial ratio determined in healthy samples. An example of this ratio can be expressed as:

$$\text{Ratio} = \frac{\text{Initial Ratio}_{\text{sample}}}{\text{Initial Ratio}_{\text{healthy}}}$$

where Initial Ratio<sub>healthy</sub> further refers to the ratio between the first subpopulation of the biomarker determined in healthy samples (e.g., Biomarker First Subpopulation<sub>healthy</sub>) and the second subpopulation of the biomarker determined in healthy samples (e.g., Biomarker Second Subpopulation<sub>healthy</sub>).

**[0077]** In some embodiments, evaluating the quantitative level of one or more biomarkers includes determining an initial ratio between a quantitative level of a first biomarker in the sample and a quantitative level of a subpopulation of a second biomarker in the sample. For example, the initial ratio may be between the quantitative level of ELANE in the sample and a quantitative level of a subpopulation of the SERPIN (e.g., AAT) in the sample. An example of the initial ratio for the sample can be expressed as:

$$\text{Initial Ratio}_{\text{sample}} = \frac{\text{First Biomarker}_{\text{sample}}}{\text{Subpopulation of Second Biomarker}_{\text{sample}}}$$

where First Biomarker<sub>sample</sub> refers to the quantitative level of the first biomarker determined in the sample and where Subpopulation of Second Biomarker<sub>sample</sub> refers to the quantitative level of the subpopulation of the second biomarker determined in the sample.

**[0078]** Next, the predictive model can determine a ratio between the initial ratio in the sample and the corresponding initial ratio determined in healthy samples. An example of this ratio can be expressed as:

$$\text{Ratio} = \frac{\text{Initial Ratio}_{\text{sample}}}{\text{Initial Ratio}_{\text{healthy}}}$$

where Initial Ratio<sub>healthy</sub> further refers to the ratio between the first subpopulation of the biomarker determined in healthy samples (e.g., First Biomarker<sub>healthy</sub>) and the second subpopulation of the biomarker determined in healthy samples (e.g., Subpopulation of Second Biomarker<sub>healthy</sub>).

**[0079]** Altogether, the determined ratio represents a level of dysregulation of biomarker levels in the sample in comparison to biomarker levels in a healthy sample. If biomarkers in the sample are not dysregulated, which may be indicative of a particular cancer state, such as a lack of cancer, the determined ratio is near a value of 1. On the other hand, if biomarkers in the sample are highly dysregulated,

which may be indicative of a particular cancer state, such as a presence of cancer, the determined ratio deviates from a value of 1.

**[0080]** The determined ratio can then be compared to a pre-determined threshold ratio and the prediction model can assign an initial score to the evaluation. In one embodiment, the pre-determined threshold value of a biomarker can be derived from training samples that include samples from both cancerous and healthy individuals. Generally, the pre-determined threshold ratio represents a ratio that can be compared to the determined ratio. In other words, if the determined ratio is a ratio between a quantitative level of a biomarker in the sample and the quantitative level of the biomarker in healthy samples, then the pre-determined threshold ratio is a ratio between a quantitative level of the biomarker in cancerous samples and a quantitative level of the biomarker in healthy samples. On the other hand, if the determined ratio is a ratio between an initial ratio of the sample (e.g., between levels of biomarkers in the sample) and an initial ratio of healthy samples (e.g., between levels of biomarkers in healthy samples), then the pre-determined threshold ratio is also a ratio between an initial ratio of levels of biomarkers in cancerous samples and an initial ratio of levels of biomarkers in healthy samples. Therefore, the determined ratio can be compared to the pre-determined threshold ratio.

**[0081]** In one embodiment, the pre-determined threshold value may be expressed as Threshold value=Expected Value+Constant. In one embodiment, the pre-determined threshold value may be expressed as Threshold value=Expected Value-Constant. Here, the Expected value can represent an average of a ratio across healthy and cancer samples in the training data and the Constant can represent a variance of the ratio across healthy and cancer samples in the training data. A variance may further take into account the combined technical and biological variances that may occur across samples.

**[0082]** As one example, if the determined ratio represents a ratio between a level of a biomarker in the sample and the level of the biomarker across healthy samples (e.g.,

$$\left( \text{e.g., Ratio} = \frac{\text{Biomarker}_{\text{sample}}}{\text{Biomarker}_{\text{healthy}}} \right),$$

the pre-determined threshold can be any value between 0-10, or more. As specific examples, if the determined ratio is a ratio between the level of any one of THBS1, TIMP1, PPBP, PF4, or CMGA in the sample and the corresponding level of the biomarker in healthy samples, then the pre-determined threshold can be a value of 10. If the determined ratio is a ratio between the level of any one of C3, C4BPA, or PROP in the sample and the corresponding level of the biomarker in healthy samples, then the pre-determined threshold can be a value of 1.5. If the determined ratio is a ratio between the level of any one of SAA2 or ECM1 in the sample and the corresponding level of the biomarker in healthy samples, then the pre-determined threshold can be a value of 1.5. If the determined ratio is a ratio between the level of inactive AAT in the sample and the corresponding level of the inactive AAT in healthy samples, then the pre-determined threshold can be a value of 1.5.

[0083] As another example, if the determined ratio is expressed as

$$\text{Ratio} = \frac{\text{Initial Ratio}_{\text{sample}}}{\text{Initial Ratio}_{\text{healthy}}},$$

where the initial ratio of the sample or healthy is expressed as

$$\text{Initial Ratio}_{\text{sample}} = \frac{\text{Biomarker First Subpopulation}_{\text{sample}}}{\text{Biomarker Second Subpopulation}_{\text{sample}}},$$

the pre-determined threshold can be any value between 0-10, or more. As specific examples, if the initial ratio of the sample represents a ratio between an inactive population of AAT and an active population of AAT, the pre-determined threshold value can be 3.5. Therefore, if the ratio is greater than 3.5, then the ratio of inactive to active subpopulations of AAT in the sample is likely dysregulated.

[0084] As another example, if the determined ratio is expressed as

$$\text{Ratio} = \frac{\text{Initial Ratio}_{\text{sample}}}{\text{Initial Ratio}_{\text{healthy}}},$$

where the initial ratio of the sample or healthy is expressed as

$$\text{Initial Ratio}_{\text{sample}} = \frac{\text{First Biomarker}_{\text{sample}}}{\text{Subpopulation of Second Biomarker}_{\text{sample}}},$$

the pre-determined threshold can be any value between 0-10, or more. As specific examples, if the initial ratio of the sample represents a ratio between ELANE and an active population of AAT, the pre-determined threshold value can be 10. Therefore, if the ratio is greater than 10, then the ratio of ELANE to the active subpopulation of AAT in the sample is likely dysregulated.

[0085] The prediction model compares the determined ratio between the sample and healthy samples to the pre-determined threshold value to determine whether the one or more biomarkers in the sample exhibit beyond a threshold level of dysregulation. In one embodiment, if the determined ratio is greater than a pre-determined threshold value (e.g., greater than Expected Value+Constant) or less than the pre-determined threshold value (e.g., less than Expected Value-Constant), the one or more biomarkers in the sample are dysregulated in comparison to healthy samples.

[0086] In one embodiment, the prediction model may assign an initial score for the evaluation based on the comparison between the determined ratio and the pre-determined threshold value. For example, the prediction model may assign an initial score for the evaluation if the determined ratio is greater than or less than the pre-determined threshold value. As another example, the prediction model assigns an initial score that represents a level of dysregulation based on the comparison. For example, the

more deviant the determined ratio is from the pre-determined threshold value, the higher the initial score assigned for the evaluation.

[0087] In various embodiments, the prediction model combines the initial scores assigned to the individual evaluations and determines a total score for the sample.

[0088] In some embodiments, the prediction model assigns weights to each initial score and determines the total score for the sample as the weighted summation of the initial scores. The prediction model may compare the total score for the sample to one or more threshold values to determine a cancer disease state 116. Such a threshold value can be dependent on the type of cancer disease state 116 (e.g., presence/absence of cancer, likelihood of developing cancer, type of cancer, stratification of the patient, etc.). For example, if the cancer disease state 116 is a binary option (e.g., presence/absence of cancer), the prediction model may output a presence of cancer for the patient if the total score for the sample is above the threshold value. Conversely, the prediction model may output an absence of cancer for the patient if the total score for the sample is below the threshold. As another example, if the cancer disease state 116 includes multiple categories (e.g., a likelihood value or a stratification of the patient), the prediction model may compare the total score of the sample to multiple threshold values to determine which category to place the patient in.

#### [0089] 1.2.4. Medical Intervention

[0090] The pattern of systemic dysregulation through the protein markers disclosed herein could be used as guidance generally for the efficacy for any therapeutic strategy. Threshold variances from this panel can establish signature cancer profiles from blood to support all areas of medical benefit from liquid biopsy technologies. Any therapeutic strategy that can begin to untangle the fibers of this web of dysregulation embedded in these three systemic response pathways may improve the survival of cancer patients. With suitable biomarkers to support a stroma liquid biopsy, accounting for changes in these markers could report whether the network or systemic web of dysregulation is or is not, unwinding back to normalcy.

[0091] In various embodiments, the medical intervention that is provided to the patient based on a determined cancer state is a therapeutic agent such as a biologic, e.g. a cytokine, antibody, soluble cytokine receptor, anti-sense oligonucleotide, siRNA, etc. Such biologic therapeutic agents encompass muteins and derivatives of the biological agent, which derivatives can include, for example, fusion proteins, PEGylated derivatives, cholesterol conjugated derivatives, and the like as known in the art. Also included are antagonists of cytokines and cytokine receptors, e.g. traps and monoclonal antagonists, e.g. IL-1Ra, IL-1 Trap, sIL-4Ra, etc. Also included are any immune modulators (i.e., PD-1 or PDL-1 inhibitors) that redirect the subject's immune system to treat cancer. Also included are biosimilar or bioequivalent drugs to the active agents set forth herein.

[0092] In various embodiments, a medical intervention can be an anticoagulant provided to the patient. Anticoagulation therapy may perturb the microenvironment ecosystem, rather than having a direct effect on rapidly proliferating cells. Some patients may be subject to a risk of internal bleeding and therefore, anticoagulant therapy can be deemed appropriate for different patients. For example, anticoagulation therapy may serve as an adjuvant therapy for patients

with advanced disease whereas patients with less advanced disease can more readily tolerate anticoagulant therapy.

**[0093]** In various embodiments, biologic therapeutic agents provided to the patient based on a determined cancer state may modulate the activity of proteins involved in interconnected, dysregulated pathways. In one embodiment, a determined cancer state in a patient may reflect hyperactivity of ELANE. Therefore, an inhibitor of ELANE can serve as a biologic therapeutic agent that is administered to the patient. As another example, a determined cancer state in a patient may reflect hyperactivity of a neutrophil enzyme, such as cathepsin G. Cathepsin G cleaves precursor proteins into NAP-2 and therefore, hyperactivity of cathepsin G may be indicated by increased levels of NAP-2. An inhibitor of cathepsin G can serve as a biologic therapeutic agent that is administered to the patient.

**[0094]** In various embodiments, a biologic therapeutic agent administered to the patient can aim to modulate the activity of a dysregulated pathway, such as the complement pathway. For example, a therapeutic agent that inactivates the functionality of the C1 inhibitor can open the gate to the classical complement pathway. In various embodiments, such a therapeutic agent that inactivates the functionality of the C1 inhibitor can be a modulator of the complement pathway. Modulation of the complement pathway has shown therapeutic benefit in chronic pathologies such as macular degeneration and retinal injury. For example, a biologic therapeutic agent can be a substrate that binds to the reactive centre loop (RCL) cleavage site of the C1 inhibitor. In some embodiments, as C1 inhibitor is a pleotropic regulator of both coagulation and complement pathways, indirect inactivation of the C1 inhibitor can also be achieved through the administration of an anti-coagulant biologic therapeutic agent.

**[0095]** A pharmaceutical composition administered to an individual includes an active agent such as the biologic therapeutic agent described above. The active ingredient is present in a therapeutically effective amount, i.e., an amount sufficient when administered to treat a disease or medical condition mediated thereby. The compositions can also include various other agents to enhance delivery and efficacy, e.g. to enhance delivery and stability of the active ingredients. Thus, for example, the compositions can also include, depending on the formulation desired, pharmaceutically-acceptable, non-toxic carriers or diluents, which are defined as vehicles commonly used to formulate pharmaceutical compositions for animal or human administration. The diluent is selected so as not to affect the biological activity of the combination. Examples of such diluents are distilled water, buffered water, physiological saline, PBS, Ringer's solution, dextrose solution, and Hank's solution. In addition, the pharmaceutical composition or formulation can include other carriers, adjuvants, or non-toxic, nontherapeutic, nonimmunogenic stabilizers, excipients and the like. The compositions can also include additional substances to approximate physiological conditions, such as pH adjusting and buffering agents, toxicity adjusting agents, wetting agents and detergents. The composition can also include any of a variety of stabilizing agents, such as an antioxidant.

**[0096]** The pharmaceutical compositions described herein can be administered in a variety of different ways. Examples include administering a composition containing a pharmaceutically acceptable carrier via oral, intranasal, rectal, topical, intraperitoneal, intravenous, intramuscular, subcutane-

ous, subdermal, transdermal, intrathecal, or intracranial method. Such a pharmaceutical composition may be administered for prophylactic (e.g., before determination of a cancer state in the patient) or for treatment (e.g., after determination of a cancer state in the patient) purposes.

**[0097]** In various embodiments, quantitative levels of a panel of biomarkers can be monitored throughout a subject's life, a wellness strategy, so that any changes in the biomarkers, which may point towards risk factors for cancer, can be used for a medical intervention. Hereditary genetic factors that impinge on the functionalities of the regulating SERPIN protease inhibitors in this model of dysregulation may also be viewed in the context of risk factors which can be additional determining factors for medical intervention.

**[0098]** In various embodiments, a medical intervention that is provided to the patient based on a determined cancer state is a suggested lifestyle change such as physical therapy or a change in diet. A medical intervention may additionally support a decision to have, or not to have, surgery or radiation therapy. The method also provides for combination therapy of one or more therapeutic agents and/or suggested lifestyle change, where the combination can provide for additive or synergistic benefits.

### 1.3. Computer Implementation

**[0099]** The methods of the invention, including the step of determining a cancer disease state for a patient through a biomarker level analysis **114**, are, in some embodiments, performed on a computer.

**[0100]** For example, the building and execution of a predictive model and database storage can be implemented in hardware or software, or a combination of both. In one embodiment of the invention, a machine-readable storage medium is provided, the medium comprising a data storage material encoded with machine readable data which, when using a machine programmed with instructions for using said data, is capable of displaying any of the datasets and execution and results of a predictive model of this invention. Each program can be implemented in a high level procedural or object oriented programming language to communicate with a computer system. However, the programs can be implemented in assembly or machine language, if desired. In any case, the language can be a compiled or interpreted language. Each such computer program is preferably stored on a storage media or device (e.g., ROM or magnetic diskette) readable by a general or special purpose programmable computer, for configuring and operating the computer when the storage media or device is read by the computer to perform the procedures described herein. The system can also be considered to be implemented as a computer-readable storage medium, configured with a computer program, where the storage medium so configured causes a computer to operate in a specific and predefined manner to perform the functions described herein.

**[0101]** The signature patterns and databases thereof can be provided in a variety of media to facilitate their use. "Media" refers to a manufacture that contains the signature pattern information of the present invention. The databases of the present invention can be recorded on computer readable media, e.g. any medium that can be read and accessed directly by a computer. Such media include, but are not limited to: magnetic storage media, such as floppy discs, hard disc storage medium, and magnetic tape; optical storage media such as CD-ROM; electrical storage media such

as RAM and ROM; and hybrids of these categories such as magnetic/optical storage media. One of skill in the art can readily appreciate how any of the presently known computer readable mediums can be used to create a manufacture comprising a recording of the present database information. “Recorded” refers to a process for storing information on computer readable medium, using any such methods as known in the art. Any convenient data storage structure can be chosen, based on the means used to access the stored information. A variety of data processor programs and formats can be used for storage, e.g. word processing text file, database format, etc.

#### [0102] 1.3.1. Example Computer

[0103] FIG. 1C illustrates an example computer **180** for implementing the methods depicted in FIGS. 1A and 1B. For example, as described above, the computer **180** can execute code for a prediction model that performs the biomarker level analysis **114**, which determines a cancer disease state in a patient. The computer **180** includes at least one processor **102** coupled to a chipset **104**. The chipset **104** includes a memory controller hub **120** and an input/output (I/O) controller hub **122**. A memory **106** and a graphics adapter **128** are coupled to the memory controller hub **120**, and a display **118** is coupled to the graphics adapter **128**. A storage device **108**, an input interface **124**, and network adapter **126** are coupled to the I/O controller hub **122**. Other embodiments of the computer **180** have different architectures.

[0104] The storage device **108** is a non-transitory computer-readable storage medium such as a hard drive, compact disk read-only memory (CD-ROM), DVD, or a solid-state memory device. The memory **106** holds instructions and data used by the processor **102**. The input interface **124** is a touch-screen interface, a mouse, track ball, or other type of pointing device, a keyboard, or some combination thereof, and is used to input data into the computer **180**. In some embodiments, the computer **180** may be configured to receive input (e.g., commands) from the input interface **124** via gestures from the user. The graphics adapter **128** displays images and other information on the display **118**. The network adapter **126** couples the computer **180** to one or more computer networks. In some embodiments, the computers **180** can lack some of the components described above, such as graphics adapters **128**, and displays **118**.

[0105] The computer **180** is adapted to execute computer program modules for providing functionality described herein. As used herein, the term “module” refers to computer program logic used to provide the specified functionality. Thus, a module can be implemented in hardware, firmware, and/or software. In one embodiment, program modules are stored on the storage device **108**, loaded into the memory **106**, and executed by the processor **102**.

[0106] In various embodiments, the prediction model that performs the biomarker level analysis **114** can run on a single computer **180**. In some embodiments, the prediction model can be executed across multiple computers **180** communicating with each other through a network such as in a server farm.

#### 1.4. Kits

[0107] Also disclosed herein are kits for determining a cancer disease state **116**. Such kits can include reagents for detecting quantitative levels of one or more biomarkers as

well as instructions for performing a biomarker level analysis **114** based on the detected quantitative levels of the one or more biomarkers.

[0108] A kit can comprise a set of reagents for generating a dataset via at least one protein detection assay that is associated with a sample from the subject. The dataset can include data representing quantitative levels corresponding to biomarkers described above in Section 1.2.2. In various embodiments, the dataset can include data representing quantitative levels corresponding to two or more biomarkers comprising a first subpopulation of a SERPIN protein and a second subpopulation of a SERPIN protein. In various embodiments, the dataset can include data representing quantitative levels of biomarkers including a total population of AAT, an active subpopulation of AAT, an inactive subpopulation of AAT, and a total population of ELANE. In various embodiments, the dataset can include data representing quantitative levels of biomarkers including two or more of TIMP-1, PPBP, THBS1, PF4, HEP2, C3, C4BPA, PROP, SAA2, a SERPIN protein, ELANE, ECM1 and CMGA.

[0109] The instructions included in the kit can be instructions for generating a total score that is indicative of the cancer disease state **116** in the patient **110**. Such instructions can include instructions for determining an initial score based on quantitative levels of biomarkers, as is described above in relation to Section 1.2.3, and to mathematically combine the initial scores to generate the total score, wherein a higher total score indicates an increased likelihood of a particular cancer disease state **116**, such as a presence of cancer or a higher severity of cancer.

[0110] In some embodiments, the reagents included in the kit are reagents for performing LC-MS to quantify the levels of biomarkers. In some embodiments, the reagents included in the kit include the ALBUVOID LC-MS on-Bead reagents. In some embodiments, the reagents include one or more antibodies that bind to one or more of the biomarkers, optionally wherein the antibodies are monoclonal antibodies or polyclonal antibodies. In some embodiments, the reagents can include reagents for performing ELISA including buffers and detection agents.

[0111] A kit can further include software for performing instructions included with the kit, optionally wherein the software and instructions are provided together. For example, a kit can include software for executing the predictive model, as is described above in Section 1.2.3. Thus, the software executes the predictive model which mathematically combines quantitative levels of biomarkers generated using the set of reagents.

[0112] A kit can include instructions for use of reagents included in the kit. For example, a kit can include instructions for performing at least one protein detection assay such as LC-MS, ALBUVOID LC-MS on-Bead assay, an immunoassay, a protein-binding assay, an antibody-based assay, an antigen-binding protein-based assay, a protein-based array, an enzyme-linked immunosorbent assay (ELISA), flow cytometry, a protein array, a blot, a Western blot, nephelometry, turbidimetry, chromatography, mass spectrometry, enzymatic activity, and an immunoassays selected from MA, immunofluorescence, immunochemiluminescence, immunoelectrochemiluminescence, immunoelectrophoretic, a competitive immunoassay, and immunoprecipitation.



[0113] A kit can include instructions for taking at least one action, such as a medical intervention, based on the determined cancer disease state.

### EXAMPLES

#### Example 1: Detecting Biomarker Levels Predictive of a Cancer Disease State

[0114] In this report, 3 cancer types—pancreatic, breast and cancer, with samples taken from clinically characterized stages I-IV, were compared against a pooled samples from matched 5 normal/healthy individuals of similar age and sex, in this case females, ages 40-60. Additionally, the variance within these same normal/healthy individuals were considered to account for any combined technical and biological variance with the performed methods.

[0115] The workflow for determining biomarker levels in a sample follows the ALBUVOID LC-MS On-Bead sample prep method. In brief, 50  $\mu$ l serum sample is prepared by adding a binding buffer, then applied to the ALBUVOID beads, and washed. All steps are performed within a microfuge spin-filter format. Albumin is specifically voided out, while the majority of the remaining serum proteome is retained on the bead. Next, On-Bead digestion is conducted for 4 hours to minimize proteolytic background. Specifically, reduction, alkylation and Trypsin digestion all take place on the bead. Peptides are labeled with TMT (isobaric labels). After labeling, the peptides were pooled and analyzed with a single LC-MS/MS 3 hour gradient run using nanoRSLC system interfaced with a THERMO SCIEN-TIFIC Q EXACTIVE HF (Thermo Scientific) instrument, using data-dependent acquisition with resolution of 60,000, followed by MSMS scans (HCD 30% of collision energy) of 20 most intense ions, with a repeat count of two and dynamic exclusion duration of 60 sec. Normalized thresholds for determining whether certain biomarker levels differed significantly from healthy were established. Specifically, a cancer/healthy ratio for a biomarker that is greater than 1.5 indicates an upregulated biomarker level whereas a cancer/healthy ratio for a biomarker that is less than 0.7 indicates a downregulated biomarker level.

[0116] The LC-MS/MS spectral data was searched against the Human Ensembl databases using X!tandem (thegpm.org) with carbamidomethylation on cysteine as fixed modification and oxidation of methionine and deamidation on Asparagine as variable modifications using a 10 ppm precursor ion tolerance and a 20 ppm fragment ion tolerance. The searches were done using an in-House version of X! Tandem with protein filters set based on FPR supplied by the software: valid  $\log(e) < -0.4$ ,  $p = 87$ , FPR = 0.72%. The peptides were filtered by  $\log e < -2$  and protein filtered by minimal number of peptide  $> 2$ .

[0117] Example results of various biomarker levels and their respective upregulation/downregulation categorization is shown in Table 1. The column entitled “TMT Report Ratio Threshold” indicates the upregulation or downregulation of a protein biomarker in cancer subjects in relation to healthy subjections.

[0118] These results indicate that there is a measurable serum cancer phenotype that can be modeled with categorical proteins taken from: i) inflammation and acute reactants, ii) blood coagulation, iii) tissue remodeling, iv) glycolysis, and v) all others observed here and not previously described

for multiple tumors (APOA1, APOC3, TTR, SHBG, SEMA3D, CFAP61, PFKM, SPRED2, C18orf63, CH17-224D4.2, CTD-2007N20.1).

#### Example 2: Levels of Biomarkers Involved in Interconnected, Dysregulated Pathways

[0119] Normal female human samples (N=4-5) were provided between the ages of 40 and 60, along with cancerous serum samples from females (N=5) within similar age ranges. The diseased samples were as follows: stage 1 breast cancer, stage 2 lung cancer, stage 2b pancreatic cancer, ovarian cancer, and Non-Hodgkin's lymphoma cancer. ALBUVOID LC-MS On-Bead Kit was used to process the samples to deplete albumin.

[0120] 50  $\mu$ l of each sample was individually processed with 25 mg of ALBUVOID matrix. The protocol provided in the kit was followed (and samples were eluted with 200  $\mu$ l of AlbuVoid Elution Buffer). 10  $\mu$ l of the eluate was loaded for SDS-PAGE, onto NuPage™ 10% Bis-Tris Gel (Invitrogen) and separated for 5 minutes or until all the sample had gone into the gel. Gel was stained with Coomassie Blue R250 and de-stained. The gel piece that has sample (from loading well to running loading dye) was excised and cut into 1 mm cubes. Samples were prepped for in-gel digest after being reduced with 10 mM DTT, incubated at 60 C for 30 min, and alkylated with 20 mM iodoacetamide, incubated at room temperature in the dark for 45 min. After the gel pieces were washed and dried, 1  $\mu$ g of PIERCE Trypsin Protease was added to digest the proteins overnight in 37° C. The following day, peptides were extracted with 60% acetonitrile, 5% formic acid and dried under vacuum. Samples were re-solubilized to 80  $\mu$ l in 5% acetonitrile, 0.1% TFA overnight in 4° C. 1  $\mu$ l was analyzed by LC-MS using Nano LC-MS/MS (Dionex Ultimate 3000 RLSCnano System) interfaced with Q EXACTIVE HF.

[0121] Samples were loaded onto a self-packed 100  $\mu$ m $\times$ 2 cm trap (Magic C18AQ, 5  $\mu$ m 200 Å, Michrom Biore-sources, Inc.) and washed with loading Buffer A (0.1% trifluoroacetic acid) for 5 min with a flow rate of 10  $\mu$ l/min. The trap was brought in-line with the analytical column (Magic C18AQ, 3  $\mu$ m 200 Å, 75  $\mu$ m $\times$ 50 cm) and peptides fractionated at 300 nL/min using a segmented linear gradient 4-15% B in 15 min (where A: 0.2% formic acid, and B: 0.16% formic acid, 80% acetonitrile), 15-25% B in 40 min, and 25-50% B in 32 min. Mass spectrometry data was acquired using a data-dependent acquisition procedure with a cyclic series of a full scan acquired in Orbitrap with resolution of 120,000 followed by MS/MS (HCD relative collision energy 27%) of the 20 most intense ions and a dynamic exclusion duration of 20 sec.

[0122] The raw data was converted into MASCOT Generic Format (MGF) using Proteome Discover 2.1 (ThermoFisher) and searched against UniProt human database using an in-house version of X!tandem (Global Proteome Machine (GPM) software). For MS based quantitation, the raw data was analyzed using Skyline (Skyline-daily). The Skyline results were filtered so that average mass error (average of chromatogram peaks of a precursor) was below 3 ppm and the isotope dot product (dot product between expected and observed precursor isotope distribution) was greater than 0.9. Spectral counts report any peptide observable and annotated to the protein identification.

[0123] Quantified levels of different biomarkers involved in different interconnected pathways in healthy and cancer

patients are shown in Table 2. In particular, the biomarkers shown in Table 2 are involved in one or more of the coagulation pathway, complement pathway, acute-phase inflammation pathway and other pathways (fibrinolysis and pregnancy associated pathways). Of interest are levels of THBS1, TIMP1, PPBP, PF4, CMGA, and SAA2, which are each elevated in cancer at least 10 times their corresponding levels in healthy individuals. Additionally of interest are the levels of C3, C4BPA, PROP, and an active subpopulation of HEP2, which are each lower in cancer by at least 1.5 times in comparison to their corresponding levels in healthy individuals. Additionally of interest is the level of ECM1, which is elevated in cancer at least 1.5 times in comparison to their corresponding levels in healthy individuals.

**[0124]** Additionally, quantified levels of various SERPIN proteins in healthy and cancer patients are shown in Table 3. Generally, in Tables 2 and 3, upregulated and downregulated biomarkers in the different cancers in relation to healthy subjects are indicated by upward and downward arrows.

#### Example 3: Cancer Serum Phenotype Involving AAT and/or ELANE Biomarkers

**[0125]** Samples taken from cancer types including pancreatic, breast, ovarian, Non-Hodgkin's Lymphoma, and lung cancer were compared against a pooled sera from matched normal/healthy individuals of similar age and sex, in this case females (N=4), ages 40-60. Additionally, the comparison also considered the variance within these same normal/healthy individuals to account for any combined technical and biological variance.

##### Example 3.1: Determining Subpopulations of AAT

**[0126]** Proteolytic activity refers to processes that degrade proteins, and the family of proteins that perform this activity are called proteases. Proteolytic events, unlike those in most chemical and biochemical reactions, do not follow ideal reaction conditions in which the final products are formed through equilibria within and between the relative concentrations of the reactants and products. This is because in proteolysis, the key reactant is water (hydrolysis) and thus the reaction is unidirectional, as water molecules are in virtually infinite supply and cannot be exhausted. Thus, organisms have evolved a complex system of regulation that allows for multiple factors, both macromolecules and small molecules, to control aberrant proteolytic events. In blood, these regulating events are often overlapping with multiple pathways and regulating mechanisms (i.e., protease inhibitors), all subject to insults that can perturb the delicate balance of the proteolytic web, causing chronic pathologies.

**[0127]** The suicidal serine protease inhibitors (SERPIN) are a collection of a super-family of proteins annotated within the SERPIN gene nomenclature. These proteinase inhibitors regulate key intracellular and extracellular pathways. Some representative examples of SERPINs among the key regulators in blood serum, include SERPINA1 (also known as AAT), which protects lung tissue from ELANE. SERPINs differ from all other families of protease inhibitors in having a complex mechanism of action that involves a drastic change in their shape, forming the basis of a suicidal substrate inhibition mechanism. An amino acid region forms the reactive centre loop (RCL) extending out from the body of the protein and directs binding to the target protease. The protease cleaves the SERPIN at the reactive bond site within

the RCL, establishing a covalent linkage between the carboxyl group of the SERPIN reactive site and the serine hydroxyl of the protease. The resulting inactive serpin-protease complex is highly stable, and the structural disorder induces its proteolytic inactivation. As a consequence, the protease is permanently inhibited and functionally inactivated.

**[0128]** A cancer serum phenotype can be characterized by measuring AAT proteoforms separated at the protein level, to have the majority of the peptide region RCL-cleaved reporting sub-population in one fraction, and the remaining sub-population, containing the peptide region, in part the RCL-intact sub-population in a second fraction, so as to report these minimum of 2 fractions, at peptide and functional levels, to distinguish sub-population signatures of AAT in cancer sera.

**[0129]** Table 4 documents different subpopulations and total populations of AAT detected in samples that are analyzed through the ALBUVOID on-bead methods described above in relation to Example 1. The "Bead Bound" sub-population of proteins shown in FIG. 4 refers to proteins that bind to the bead. The "Flow-Through" subpopulation of proteins shown in FIG. 4 refers to proteins that flow through and remain unbound from the ALBUVOID beads. The "Serum Untreated" population refers to the total population of proteins that are observable in serum without any sample processing, that is without ALBUVOID.

**[0130]** In particular, Table 4 reports the normalized ratio of the subpopulations AAT determined from samples obtained from pancreatic cancer patients in comparison to the corresponding subpopulations of AAT in healthy subjects. Specifically, the TMT ratio depicted in Table 4 refers to the ratio between levels detected in pancreatic cancer samples and the levels detected in healthy samples. Table 4 depicts the adjacent RCL tryptic peptide at Lys367 (which is adjacent to the amino acid region of the RCL between 368-392). Additionally, Table 4 documents the RCL intact peptide, a Trypsin truncated amino acid sequence of the full RCL sequence -GTEAABAMFLEAIPMSIPPEVKFNK. Furthermore, Table 4 documents two RCL peptides that are cleaved at Met382 due to suicidal substrate interaction.

**[0131]** These data suggest that the overall AAT population is dominated by the inactive sub-population of AAT collected in the flow-through fraction (e.g., unbound), and this same inactive sub-population dominates the analysis when untreated sera is investigated. These methods distinguish the inactive sub-population of AAT that is not bound to the bead and the active sub-population of AAT that is bound to the bead. When measured as a ratio of these two sub-populations, the ratio may serve as a distinguishable pattern of early dysregulation observable in the cancer serum phenotype. For this analysis, the ratio of the Adjacent RCL Tryptic peptide region would be  $1.78/0.35=5$ .

##### Example 3.2: Repeated Verification of the Determined Subpopulations of AAT

**[0132]** A second series of label tests were conducted to verify the first tests. Specifically, this second test followed the same workflow and all 3 pooled cancer sera similarly reported a down-regulated RCL-intact active AAT subpopulation.

**[0133]** The workflow follows the ALBUVOID LC-MS On-Bead sample prep method. In brief, 50  $\mu$ l serum is prepared by adding a binding buffer, then applied to the

ALBUVOID beads, and washed. All steps are performed within a microfuge spin-filter format. Albumin is most especially but not solely voided out, while the majority of the remaining serum proteome is retained on the bead. After the final wash, reduction, alkylation and Trypsin digestion all take place on the bead. After labeling, the peptides were pooled and analyzed with a single LC-MS/MS 3 hour gradient run using nanoRSLC system interfaced with a THERMO SCIENTIFIC Q EXACTIVE HF (Thermo Scientific) instrument, using data-dependent acquisition with resolution of 60,000, followed by MSMS scans (HCD 30% of collision energy) of 20 most intense ions, with a repeat count of two and dynamic exclusion duration of 60 sec.

**[0134]** The LC-MS/MS spectral data was searched against the Human Ensembl databases using X!tandem (thegpm.org) with carbamidomethylation on cysteine as fixed modification and oxidation of methionine and deamidation on Asparagine as variable modifications using a 10 ppm precursor ion tolerance and a 20 ppm fragment ion tolerance. The searches were done using the Rutgers Proteomics Center in-House version of X! Tandem with protein filters set based on FPR supplied by the software: valid log(e)<−0.4, p=87, FPR=0.72%. The peptides were filtered by log e<−2 and protein filtered by minimal number of peptide>2.

**[0135]** The workflow considered:

- [0136]** Normal female ages 40-60 (n=5):
- [0137]** Breast Stage 1 cancer female ages 40-60 (n=2)
- [0138]** Pancreatic Stage 1 cancer female ages 40-60 (n=3)
- [0139]** Lung Stage 2 cancer female age 40-60 (n=1)
- [0140]** Tests used the ALBUVOID LC-MS On-bead protocol
- [0141]** On-Bead Digestion, 4 hours to minimize proteolytic background
- [0142]** Single 3 hour LC-MS, no peptide level fractionation
- [0143]** TMT (isobaric) labels, ratio cancer/normal thresholds >1.5 up-regulated, <0.7 down-regulated
- [0144]** >200 Proteins observed

**[0145]** Results are shown in FIG. 3, which confirm the determined subpopulations of AAT that were observed in Example 3.1. These results suggest that the Bead-bound fraction (e.g., RCL-intact, active sub-population of AAT) in the different cancers, using on-bead 4 hour digests, is downregulated relative to the same in pooled normal/healthy controls. Additionally, the total protein load in each of the cancer samples is relatively similar to the total protein load relative to the same in pooled normal/healthy controls.

#### Example 3.3: Further Validation of the Determined Subpopulations of AAT

**[0146]** To determine if the methods introduced in Examples 3.1 and 3.2 introduced analytical bias, a label-free analysis of the same basic workflow was performed using a targeted quantification strategy focused on only Alpha-1-Antitrypsin and Neutrophil Elastase.

- [0147]** Serum sample sets, pooled as follows:
- [0148]** Normal female ages 40-60 (n=5):
- [0149]** Breast Stage 1 cancer female ages 40-60 (n=2)
- [0150]** Pancreatic Stage 1 cancer female ages 40-60 (n=3)
- [0151]** Lung Stage 2 cancer female age 40-60 (n=1)
- [0152]** Tests used the ALBUVOID LC-MS On-bead protocol

**[0153]** Briefly, 50 µl of serum was diluted in 100 µl of ALBUVOID LC-MS Kit buffer “AVBB” and added to 25 mg of ALBUVOID beads. Sample was vortexed and centrifuged. Filtrate is collected as Flow-Through (FT).

**[0154]** Samples washed 3× with 250 µl of ALBUVOID LC-MS Kit buffer “AVWB”. (Filtrate combined and saved at 80° C.). For on-bead digest tests, the ALBUVOID LC-MS On-bead protocol (commercial product supplied by Biotech Support Group LLC, Monmouth Junction N.J.) was used. Briefly, 10 mM of DTT in ALBUVOID LC-MS Kit buffer “AVWB” was added to bead and vortexed for 10 minutes and incubated for 30 minutes at 60 C. After samples cool to RT 20 mM Iodoacetamide (in ALBUVOID LC-MS Kit buffer “AVWB”) was added and incubated in the dark for 45 minutes. Samples centrifuged and filtrate was discarded. Bottom of spin-X tubes were rinsed with 50% ACN, in ALBUVOID LC-MS Kit buffer “AVWB” twice. 16 µg/200 µl of trypsin in ALBUVOID LC-MS Kit buffer “AVWB” was added to bead and kept in warm room for 4 hours. (32 µl of 0.5 µg/µl trypsin in 168 µl ALBUVOID LC-MS Kit buffer “AVWB”). Samples were centrifuged and filtrate was collected. 150 µl of 10% formic acid in 50 mM HEPES was added to extract further peptides, vortexed for 10 minutes and centrifuged. Filtrate was combined. Assuming there is ~800-1000 µg of protein after ALBUVOID processing, there is ~3 µg/µl of protein in the filtrate. Took 2 µl diluted to 60 µl with water. Samples were loaded 5 µl onto nanoRSLC system interfaced with a THERMO SCIENTIFIC Q EXACTIVE HF (Thermo Scientific) instrument, (0.5 µg) using 2 hour gradient with target.

**[0155]** For In-gel digest of total serum without any separations and defined as “Load” or “Total”, Flow-through (“FT”) is defined as the sub-population of proteins not bound to the ALBUVOID beads, and Elution (“EI”), proteins that bound to the ALBUVOID beads but releases using a suitable eluent buffer, for all four samples. In brief, the protocol used an amount of protein after ALBUVOID processing of ~1000 µg. According to the gel electrophoresis there was estimated 200 µg protein in Load/Total, 100 µg in FT, 40 µg in elution (Elution has the least amount of protein in the gel (1000/25). After reduction/alkylation by common methods known to those in the art, for digestion, Trypsin was added with a 1:50 enzyme:protein ratio. Load serum samples got 4 µg trypsin, FT samples got 2 µg, and Elution got 0.5 µg. After second precipitation samples were solubilized and loaded as such: Total: Solubilized in 100 µl to become 2 µg/µl. Then 1 µl protein diluted to 20 µl to become 0.1 µg. 0.5 µg was loaded (5 µl), FT: Solubilized in 100 µl to become 1 µg/µl. Then 1 µl protein diluted to 10 µl to become 0.1 µg. 0.5 µg was loaded (5 µl), Elution: Solubilized in 80 µl to become 0.5 µg/µl. 0.5 µg was loaded (1 µl). Samples were loaded onto nanoRSLC system interfaced with a Thermo Scientific Q EXACTIVE HF (Thermo Scientific) instrument, using 2 hour gradient with target.

**[0156]** Peptides were solubilized in 0.1% trifluoroacetic acid, and analyzed by Nano LC-MS/MS (Dionex Ultimate 3000 RLSCnano System) interfaced with QExactive HF. Results are shown in Table 2. Samples were loaded onto a self-packed 100 µm×2 cm trap (Magic C18AQ, 5 µm 200 Å, Michrom Bioresources, Inc.) and washed with loading Buffer A (0.1% trifluoroacetic acid) for 5 min with a flow rate of 10 µl/min. The trap was brought in-line with the analytical column (Magic C18AQ, 3 µm 200 Å, 75 µm×50 cm) and peptides fractionated at 300 nL/min using a segmented

linear gradient 4-15% B (A: 0.2% formic acid, B: 0.16% formic acid, 80% acetonitrile) in 15 min, 15-25% B in 40 min, 25-50% B in 32 min. Mass spectrometry data was acquired using a MRM procedure that target the 2+ or 3+ of tabularized peptides through-out the run.

**[0157]** The MSMS related parameters were set as following: MSMS resolution: 30K, AGC target: 5E5, isolation window+/-0.7 dalton, normalized collision energy 27, data was recorded in centroid mode.

**[0158]** Raw data analyzed using Thermo Xcalibur (Thermo fisher). Most peptides were analyzed automatically and inspected manually in quanbrowser. Some peptides were manually integrated in qualbrowser due to poor peak shape. 3-4 transitions were used to quantify each peptide. The following peptides were observed and quantified as the totality of signal intensities computationally by common methods known in the art of SRM/MRM target quantifications.

**[0159]** To compare profiles of cancer sera within a proteomics context, total AAT was determined using a common surrogate peptide unrelated to the AAT RCL region (amino acid sequence DTEEDFDHVDQVTTVK). Using this peptide as surrogate or proxy for the total amount of AAT present in the sera, a similar pattern of up-regulation of the total AAT is observed, as is depicted in FIG. 4.

**[0160]** However, with protein level separation (e.g., flow-through shown in FIG. 5)—in this case using ALBUVOID, a more distinctive pattern of AAT was observed. This supports that there are two distinct proteoform sub-populations of AAT, each sub-population having more or less binding bias to the ALBUVOID beads.

**[0161]** While the overall abundance as reported here support higher amounts of the cleaved RCL peptide reporting feature (presumed to be inactive AAT) in 2 out of the 3 cancer (with Breast the exception), with protein level separation, the flow-through sub-proteome reflects a strong relationship between up-regulated cleaved RCL peptide compared to normal. When these ratios are compared and normalized to the normal sample (normal/normal being=1), the normalized flow-through ratios indicate between a 1.5 times (e.g., breast cancer) to a 5 times (e.g., lung cancer) increase. The cleaved RCL peptide proteoform has a negative binding bias as it poorly binds to the beads, as depicted in FIG. 6. This supports a preferred method that protein level enrichment may be needed to establish protein biomarkers for quantitatively measuring an inactive component of AAT, as being dysregulated in serum/plasma with incidence of cancer.

**[0162]** The RCL intact peptide serves as a proteoform feature that distinguishes the maintenance of inhibitory capacity to that which does not. Though the RCL-Intact proteoform also reports in the flow-through (unbound) fraction of the ALBUVOID beads, the proteoform with bias towards binding to ALBUVOID beads are particularly distinguishable as a biomarker for cancer. The bead-bound fraction has a lower amount for all three cancers when normalized to the normal/healthy controls, as depicted in FIG. 7. Specifically, the value of the bead bound RCL intact peptide (e.g., active subpopulation AAT) for each of breast cancer, lung cancer, and pancreatic cancer is 0.7 times or less relative to the corresponding value of the bead bound RCL intact peptide from healthy samples. This decrease in the active subpopulation of AAT is further emphasized in lung

cancer and pancreatic cancer as the normalized total AAT (e.g., load—no separations in FIG. 7) is higher in cancer in comparison to healthy.

**[0163]** FIG. 8 further depicts the normalized total AAT load and normalized ratio of inactive AAT to active AAT in patients with breast, lung, or pancreatic cancer. FIG. 8 suggests that there is a distinguishable biomarker basis for cancer monitoring based on the ratio of inactive AAT to active AAT. Specifically, for each of breast cancer, lung cancer, and pancreatic cancer, the ratio between the inactive subpopulation of AAT (flow through RCL cleaved) and the active subpopulation of AAT (bead bound RCL intact) is at least 3.5 times greater than the corresponding ratio from healthy samples. As part of the aforementioned SERPIN protein family of suicidal inhibitors, AAT is a protein to which an irreversible conformational switch kills its inhibitory capacity. So even though the total AAT load may increase, as is the case for lung cancer and pancreatic cancer in FIG. 8, the normalized ratio of inactive protein variant (reported as a RCL-intact proteoform separated and bound to a protein-binding bead) to the active RCL-cleaved proteoform increases with significantly more variance.

**[0164]** This evidence suggests that the active AAT subpopulation, which acts as a regulatory gatekeeper of elastase proteolysis, is nearly or completely exhausted. Therefore, as a consequence of active AAT exhaustion and due to this imbalance, the cancer likely maintains uncontrolled elastase activity which can be monitored not from the tumor localized microenvironment, but rather directly from blood serum or plasma.

**[0165]** Finally, FIG. 9 depicts severe up-regulation of NE in the cancer sera with no dependence on complexation with AAT. These results support direct measurement data concluding that the same tissue localized dysregulation of NE in cancer can be suggestive for predicting cancer disease state.

**[0166]** Taken together these observations support a gross systemic imbalance between NE activity and its primary inhibitor—the functional and active form of AAT. This can be reported as a ratio, of NE to ACTIVE AAT. By way of demonstrating this, FIG. 10 depicts the ratio of ALBUVOID bead-bound NE cancer signal intensities divided by the normal signal intensities (defined as NE Bead-bound Normalized) vs. ALBUVOID Bead-bound AAT RCL-intact cancer signal intensities divided by the normal signal intensities (defined as AAT RCL-intact Bead-bound Normalized). Here, the ratio (e.g., NE bead-bound/active AAT subpopulation) for each of the cancers (e.g., breast cancer, lung cancer, and pancreatic cancer) is greater than 10 times the corresponding ratio from the healthy sample.

#### Example 3.4: Subpopulations of AAT in Additional Cancers

**[0167]** The quantitative levels of the active and inactive subpopulations of AAT in various cancers (e.g., breast, lung, pancreatic, ovarian, and non-Hodgkin's lymphoma) were additionally evaluated according to the methods described above in Example 2.

**[0168]** Quantified levels of inactive AAT and active AAT in healthy and cancer patients are shown in Table 5. In particular, the subpopulation of inactive AAT in healthy patients is between 4.7 and 12.2  $\mu\text{g/mL}$  whereas the subpopulation of inactive AAT in cancerous patients is broader between 4.7 and 28.4  $\mu\text{g/mL}$ . Additionally, the subpopulation of active AAT in healthy patients is between 1.5 and

10.8 µg/mL whereas the subpopulation of active AAT in cancerous patients is significantly lowered (e.g., between 0.1 and 4 µg/mL) for each respective cancer. Thus, this leads to a ratio of inactive AAT to active AAT in cancerous patients that ranges from 7.1 up to 45.7. This is significantly higher than the ratio of inactive AAT to active AAT in healthy patients that ranges from 0.9 up to 3.2. The significant change in the ratio is at least a reflection of the reduced active subpopulation of AAT in cancerous patients in comparison to the active subpopulation of AAT in healthy patients. As such, the ratio of inactive AAT to active AAT in samples obtained from a cancerous patient can be informative for determining a state of the cancer in the patient.

**[0169]** To summarize, these results demonstrate actionable dysregulated pathways involved in cancer that can be therapeutically targeted to improve cancer patient outcomes.

Monitoring biomarker levels involved in the dysregulated pathways can be informative for determining a cancer state without regard to the primary tumor site or progressive stage of clinical disease. The determined cancer state can then be applied towards early detection, diagnostics, prognostics and precision therapeutic modulation.

**[0170]** While embodiments of the invention has been particularly shown and described with reference to a preferred embodiment and various alternate embodiments, it will be understood by persons skilled in the relevant art that various changes in form and details can be made therein without departing from the spirit and scope of the invention.

## TABLES

**[0171]**

TABLE 1

Changes in quantitative levels of biomarkers in different cancer samples in relation to the corresponding levels of biomarkers in healthy samples					
Protein ID	Protein Description	Plasma Proteome Annotation (approx. conc.)	TMT Report Ratio Threshold	# Individuals Threshold/All	Cancer Types
ITIH3/4 (at least one subunit chain)	Inter alpha trypsin inhibitor, heavy chain 3/4	40 µg/ml	>1.5 (up-regulated)	7/13	Pancreatic, Breast, Lung All Stages
APOA1	Apolipoprotein A I	1 mg/ml	<0.7 (down-regulated)	9/13	Pancreatic, Breast, Lung All Stages
APOC3	Apolipoprotein C III	100-200 µg/ml	<0.7 (down-regulated)	5/13 With APOA1 11/13	Pancreatic, Breast, Lung 4/5 Stage 1
CRP	C-reactive protein	1-2 µg/ml	>1.5 (up-regulated)	7/11	Pancreatic, Breast, Lung All Stages
PF4	Platelet Factor 4	5-10 ng/ml	>1.5 >2.0 (up-regulated)	13/13 9/13	Pancreatic, Breast, Lung All Stages
PPBP	Beta thromboglobulin/CTAPIII/NAP-2	5-10 µg/ml	>1.5 >2.0 (up-regulated)	13/13 9/13	Pancreatic, Breast, Lung All Stages
CLU	Clusterin	100 µg/ml	>1.5 (up-regulated)	12/13	Pancreatic, Breast, Lung All Stages
PIGR	Polymeric immunoglobulin receptor	25 ng/ml	>1.5 (up-regulated)	3/5	Pancreatic, All Stages
TTR	Transthyretin	100-400 µg/ml	<0.5 (down-regulated)	4/5	Pancreatic, All Stages
THBS1	Thrombospondin I	200 ng/ml	>1.5 (up-regulated)	3/3	Lung, All Stages
SAA2	Serum amyloid A2	5 µg/ml	=10 1 spectrum, 2 tests, quantitation may be misleading	5/5	Pancreatic, Breast, Stage 1

TABLE 1-continued

Changes in quantitative levels of biomarkers in different cancer samples in relation to the corresponding levels of biomarkers in healthy samples					
Protein ID	Protein Description	Plasma Proteome Annotation (approx. conc.)	TMT Report Ratio Threshold	# Individuals Threshold/All	Cancer Types
AGT	Angiotensinogen	40-60 µg/ml	<0.7	5/5	Pancreatic,
SERPING1	Aka SERPINA8 serpin peptidase inhibitor, clade G (C1 inhibitor), member 1	Common peptides in Peptide Atlas	>1.5 (up- regulated)	12/13	All Stages Pancreatic, Breast, Lung
CPN1	Carboxypeptidase N, serum	35 µg/ml	>1.5 (up- regulated)	10/13	All Stages Pancreatic, Breast, Lung
IGLV3-27	Ig-like	Common peptides in Peptide Atlas	<0.7 (down- regulated)	9/10	All Stages Pancreatic, Breast, Lung
IGHV1OR15-1	Ig-like	Common peptides in Peptide Atlas	<0.7 (down- regulated)	2/3	5/5 Stage 1 Lung All Stages
IGHV3-53	Ig-like	Common peptides in Peptide Atlas	<0.7 (down- regulated)	3/3	Breast, Lung
IGKV1D-33	Ig-like	Common peptides in Peptide Atlas	>2.0 (up- regulated)	3/3	Stages 1 Breast, Lung
SHBG	Sex hormone binding globulin	100-200 ng/ml	>1.5 (up- regulated)	5/5	Stages 1 Pancreatic, All Stages
SEMA3D	Sema domain immunoglobulin secreted 3D	Annotated to Plasma Proteome, no concentration data	<0.7 (down- regulated)	3/3	Lung All Stages
C4BPB	Complement component 4 binding protein, beta	200-500 µg/ml	>1.5 (up- regulated)	4/5	Pancreatic, All Stages
CFAP61	Cilia- and flagella- associated protein 61	Observed tissue only, not observed in Peptide Atlas for Plasma	<0.5 (down- regulated)	7/8	Pancreatic, Breast, All Stages
PFKM	Phosphofructokinase 1	Cytosolic protein, few observations in Peptide Atlas for Plasma	>1.5 (up- regulated) >2.0 (up- regulated)	5/5 3/5	Pancreatic, Breast, Stage 1
SPRED2	Sprouty related EVH1 domain containing 2	Observed tissue only, not observed in Peptide Atlas for Plasma	>2.0 (up- regulated) >4.0 (up- regulated)	4/5 3/5	Pancreatic, Breast, Stage 1
C18orf63	No annotation, simply called Uncharacterized	Only 2 tissue observations in Peptide Atlas	>5.0 (up- regulated)	Inconsistently observed	Pancreatic, Breast, Lung
CH17-224D4.2	No annotation	Not observed in Peptide Atlas, No annotation in Uniprot	>1.5 (up- regulated)	3/5	All Stages Pancreatic, All Stages
CTD-2007N20.1	No annotation	Not observed in Peptide Atlas, No annotation in Uniprot	<0.5 (down- regulated)	6/8	Pancreatic, Breast, All Stages

TABLE 2

Quantitative levels of biomarkers from interconnected pathways obtained from healthy and cancerous samples.										
Protein Concentration		Cancer								
Range >5 log measurable		Healthy				Stage 1	Stage 2	Non-	Stage 2b	
in 1 LC-MS Analysis		Subject	Subject	Subject	Subject	Breast	Lung	Hodgkin's	Pancreatic	Ovarian
Systemic Pathway	Gene Identifier or Protein Code	1	2	3	4	Cancer	Cancer	Lymphoma	Cancer	Cancer
Coagulation	Tissue Inhibitor of Metalloproteinases 1 (TIMP1)	ND	0.3	0.4	ND	0.8↑	3.2↑	4.0↑	1.0↑	2.5↑
Coagulation	Pro-platelet Basic Protein (PPBP)	5.0	3.0	2.0	3.0	107↑	201↑	26↑	80↑	15↑
Coagulation	Platelet Factor 4 (PF4)	ND	ND	ND	ND	39↑	87↑	11↑	22↑	11↑
Coagulation	Thrombospondin 1 (THBS1)	0.1	ND	ND	ND	7↑	11↑	2↑	4↑	1↑
Coagulation	Heparin Cofactor II (SERPIND1)	1.0	1.4	0.9	1.5	0.6↓	0.5↓	0.3↓	0.2↓	0.2↓
Coagulation	Extracellular Matrix Protein 1 (ECM1)	3.0	4.0	7.0	7.0	9.0	11↑	59↑	9.0	20↑
Complement	Complement Component 3 (C3)	1.8	1.3	1.6	1.3	1.2	0.5↓	1.1	0.5↓	0.5↓
Complement	C4b-binding protein alpha chain (C4BPA)	3.1	1.2	1.5	2.6	0.8↓	3.2	0.6↓	0.8↓	0.2↓
Complement	Properdin (PROP)	2.5	1.6	1.3	2.3	1.2	1.5	0.6↓	0.8↓	0.4↓
Complement	Complement Factor P (CFP)	14.0	10.0	15.0	10.0	11.0	9.0	7.0	6.0	3.0
Acute-phase	Serum Amyloid A2 (SAA2)	0.5	0.5	ND	0.4	0.8↑	15.2↑	4.8↑	4.9↑	1↑
Inflammation										
Acute-phase	Chromogranin-A (CMGA)							28.0		
Inflammation										
Acute-phase	Ratio: AAT/[AAT RCL]	1.8	0.9	1.3	3.2	45.7↑	21.5↑	7.1↑	20.7↑	30.6↑
Inflammation										
Fibrinolysis	Fibronectin (FN1)	223.0	289.0	298.0	368.0	373.0	322.0	68.0	372.0	97.0
Pregnancy	Pregnancy Zone Protein (PZP)	11.0	3.0	11.0	6.0		2.0		10.0	
Associated										

TABLE 3

Quantitative levels of SERPIN proteins obtained from healthy and cancerous samples.												
Protein ID		Healthy						Cancer				
Uniprot	Protein							Stage 1	Stage 2	Non-Hodgkin's	Stage 2b	
Gene Identifier	Description, Common Names	Reported Function	Subject 1	Subject 2	Subject 3	Subject 4	Subject 5	Breast Cancer	2 Lung Cancer	Lym-phoma	Pancreatic Cancer	Ovarian Cancer
SERPINA3	Antichymotrypsin	Apoptosis, Inflammation	153	190	182	191	227	227	239	271	279↑	362↑
SERPING1	Plasma Protease C1 Inhibitor	Coagulation, Complement	142	198	231	249	243	243	216	259	248	263
SERPIND1	Heparin Cofactor II	Coagulation	116	117	142	190	181	181	148	121	76↓	125
SERPINC1	Antithrombin, ATIII	Coagulation, Angiogenesis	51	28	41	35	38	38	51	75↑	82↑	40
SERPINA1	Alpha-1-Antitrypsin	Inflammation	60	44	46	28	24	24↓	31	66↑	165↑	70↑
SERPINA4	Kallistatin	Kidney function, Inflammation	35	42	50	57	42	42	54	45	33	26↓
SERPINA5	Protein C Inhibitor	Coagulation, Inflammation	17	19	24	27	16	16	16	9↓	8↓	8↓
SERPINA10	Z-dependent proteinase inhibitor	Coagulation	18	14	17	14	12	12	12	15	12	17
SERPINF2	α-2-antiplasmin	Fibrinolysis	9	6	8	7	7	7	8	6	7	6

TABLE 4

Detected sub-populations of active AAT and inactive AAT									
AAT				Bead Bound		Flow-Through (Unbound)		Serum Untreated	
Peptide Region	Start position	Sequence	End position	TMT Ratio	Spectral Count	TMT Ratio	Spectral Count	TMT Ratio	Spectral Count
Adjacent	360	AVLTIDEK	367	0.35	9	1.78	21	1.53	14
RCL Tryptic									
RCL Cleaved	368	GTEAAGAMF	382			1.05	7	1.16	23
		LEAIPM							
RCL Intact	368	GTEAAGAMF	389	0.77	5	1.75	1	1.34	50
		LEAIPMSIPPE							
		VK							
RCL Cleaved	383	SIPPEVK	389			1.45	27	1.44	18

TABLE 5

Quantitative levels of subpopulations of AAT in healthy and cancerous samples.									
				Cancer					
Healthy				Stage 1 Breast	Stage 2 Lung	Non-Hodgkin's	Stage 2b Pancreatic	Ovarian	
Description	Subject 1	Subject 2	Subject 3	Subject 4	Cancer	Cancer	Lymphoma	Cancer	Cancer
Inactive AAT	12.2	9.9	9.8	4.7	4.7	11	28.4	8.5	2.6
Active AAT	6.8	10.8	7.5	1.5	0.1	0.5	4	0.4	0.1
Ratio of (Inactive AAT):(Active AAT)	1.8	0.9	1.3	3.2	45.7↑	21.5↑	7.1↑	20.7↑	30.6↑

TABLE 6

Biomarkers and respective accession numbers	
Biomarker	Accession Number
AAT	NM_001002235
ELANE	NM_001972
TIMP1	NM_003254
PPBP	NM_002704
PF4	NM_002619
THBS1	NM_003246
SERPIND1	NM_000185
ECM1	NM_004425
C3	NM_000064
C4BPA	NM_000715
CFP	NM_002621
SAA2	NM_030754
CHGA	NM_001275
FN1	NM_212476
PZP	NM_002864
SERPINA3	NM_001085
SERPING1	NM_000062
SERPINC1	NM_000488
SERPINA4	NM_006215
SERPINA5	NM_000624
SERPINA10	NM_016186
SERPINF2	NM_000934
ITIH1	NM_002215
ITIH2	NM_002216
ITIH3	NM_002217
ITIH4	NM_002218
APOA1	NM_000003
APOC3	NM_000004
CRP	NM_000567
CLU	NM_001831
PIGR	NM_002644
NAP-2	NM_002704

TABLE 6-continued

Biomarkers and respective accession numbers	
Biomarker	Accession Number
C2	NM_000063
C4a	NM_007293
C5	NM_001735
TTR	NM_00037
AGT	NM_000029
CPN1	NM_001308
IGLV3-9	NG_000002
IGHV1OR15-1	NR_135694
IGHV3-53	NG_001019
IGKV1D-33	NG_000833
SHBG	NM_001040
SEMA3D	NM_152754
CFAP61	NM_015585
PFKM	NM_000289
SPRED2	NM_001128210
C18orf63	NM_001174123
IGLV3-27	NG_000002
KNG1	NM_001102416
KLKB1	NM_000892
PSA	NM_145864



---

SEQUENCE LISTING

<160> NUMBER OF SEQ ID NOS: 6

<210> SEQ ID NO 1

<211> LENGTH: 25

<212> TYPE: PRT

<213> ORGANISM: Unknown

<220> FEATURE:

<223> OTHER INFORMATION: Description of Unknown:  
RCL sequence

<400> SEQUENCE: 1

Gly Thr Glu Ala Ala Gly Ala Met Phe Leu Glu Ala Ile Pro Met Ser  
1 5 10 15  
Ile Pro Pro Glu Val Lys Phe Asn Lys  
20 25

<210> SEQ ID NO 2

<211> LENGTH: 16

<212> TYPE: PRT

<213> ORGANISM: Artificial Sequence

<220> FEATURE:

<223> OTHER INFORMATION: Description of Artificial Sequence: Synthetic  
peptide

<400> SEQUENCE: 2

Asp Thr Glu Glu Glu Asp Phe His Val Asp Gln Val Thr Thr Val Lys  
1 5 10 15

<210> SEQ ID NO 3

<211> LENGTH: 8

<212> TYPE: PRT

<213> ORGANISM: Unknown

<220> FEATURE:

<223> OTHER INFORMATION: Description of Unknown:  
Adjacent RCL Tryptic sequence

<400> SEQUENCE: 3

Ala Val Leu Thr Ile Asp Glu Lys  
1 5

<210> SEQ ID NO 4

<211> LENGTH: 15

<212> TYPE: PRT

<213> ORGANISM: Unknown

<220> FEATURE:

<223> OTHER INFORMATION: Description of Unknown:  
RCL Cleaved sequence

<400> SEQUENCE: 4

Gly Thr Glu Ala Ala Gly Ala Met Phe Leu Glu Ala Ile Pro Met  
1 5 10 15

<210> SEQ ID NO 5

<211> LENGTH: 22

<212> TYPE: PRT

<213> ORGANISM: Unknown

<220> FEATURE:

<223> OTHER INFORMATION: Description of Unknown:  
RCL Intact sequence

<400> SEQUENCE: 5

Gly Thr Glu Ala Ala Gly Ala Met Phe Leu Glu Ala Ile Pro Met Ser  
1 5 10 15  
Ile Pro Pro Glu Val Lys

-continued

20

<210> SEQ ID NO 6  
 <211> LENGTH: 7  
 <212> TYPE: PRT  
 <213> ORGANISM: Unknown  
 <220> FEATURE:  
 <223> OTHER INFORMATION: Description of Unknown:  
           RCL Cleaved sequence

<400> SEQUENCE: 6

Ser Ile Pro Pro Glu Val Lys  
 1                          5

What is claimed is:

1. A method for treating a cancer subject, the method comprising:

obtaining a dataset comprising levels of two or more biomarker proteins in a sample obtained from the cancer subject, the two or more biomarker proteins involved in two or more interconnected pathways of dysregulation or systemic regulation of the two or more interconnected pathways of dysregulation, the two or more interconnected pathways comprising a coagulation pathway, a complement pathway, and an acute-phase inflammation pathway;

determining a disease state of the cancer based on the detected levels of the biomarker proteins; and

based on the determined disease state of the cancer, administering a therapeutic compound that modulates one or more of the detected levels of the biomarker proteins towards corresponding levels of the biomarker proteins that are exhibited by healthy subjects,

wherein biomarker proteins involved in the coagulation pathway comprise tissue inhibitor of metalloproteinases-1 (TIMP1), Pro-platelet basic protein (PPBP), thrombospondin 1 (THBS1), platelet Factor 4 (PF4), and an active subpopulation of heparin cofactor 2 (HEP2),

wherein biomarker proteins involved in the complement pathway comprise complement (C3), complement component 4 binding protein alpha (C4BPA), properdin (PROP),

wherein biomarker proteins involved in the acute-phase inflammation pathway comprise, Serum Amyloid 2 (SAA2), extracellular matrix protein 1 (ECM1), Neutrophil Elastase (ELANE), and chromogranin A (CMGA),

wherein biomarker proteins involved in the systemic regulation of the coagulation, complement, and acute-phase inflammation pathways comprise one or more serine proteinase inhibitor (SERPIN) proteins.

2. The method of claim 1, wherein the one or more SERPIN proteins comprise alpha-1-antitrypsin (SERPINA1), wherein determining the disease state of the cancer based on the detected levels of the biomarker proteins comprises:

determining a ratio of a detected level of an inactive subpopulation of SERPINA1 and a detected level of an active subpopulation of SERPINA1; and

determining that the determined ratio is elevated in comparison to a corresponding ratio of a level of an inactive

subpopulation of SERPINA1 and a level of an active subpopulation of SERPINA1 detected in samples obtained from healthy subjects,

wherein the determined ratio is at least 3.5 times greater than the corresponding ratio detected in samples obtained from healthy subjects.

3. The method of claim 1, wherein the one or more SERPIN proteins are antichymotrypsin (SERPINA3), plasma protease C1 inhibitor (SERPING1), heparin cofactor II (SERPIND1), antithrombin III (SERPINC1), alpha-1-antitrypsin (SERPINA1), kallistatin (SERPINA4), protein C inhibitor (SERPINA5), Z-dependent proteinase inhibitor (SERPINA10), and alpha-2-antiplasmin (SERPINF2).

4. The method of claim 1, wherein determining the disease state of the cancer based on the detected levels of the biomarker proteins comprises determining a ratio of a detected level of an inactive subpopulation of one of the one or more SERPIN proteins to a level of an inactive subpopulation of the one SERPIN protein detected in samples obtained from healthy subjects.

5. The method of claim 4, wherein determining the disease state of the cancer based on the detected levels of the biomarker proteins comprises determining that a detected level of an inactive subpopulation of a SERPIN protein is at least 1.5 times greater or 1.5 times less than a level of an inactive subpopulation of a SERPIN protein detected in samples obtained from healthy subjects.

6. The method of claim 1, wherein determining the disease state of the cancer based on the detected levels of the biomarker proteins comprises determining a ratio of a detected level of ELANE to one of a detected level of an inactive subpopulation of SERPINA1 or a detected level of an active subpopulation of SERPINA1.

7. The method of claim 6, wherein the determined ratio of the detected level of ELANE to the detected level of the active subpopulation of SERPINA1 is at least 10 times greater than the corresponding ratio detected in samples obtained from healthy subjects.

8. The method of claim 1, wherein determining the disease state of the cancer based on the detected levels of the biomarker proteins further comprises determining that the detected levels of one or more of THBS1, TIMP1, PPBP, or PF4 are elevated, and the active subpopulation of HEP2 is lower in comparison to corresponding levels detected in samples obtained from healthy subjects.

9. The method of claim 8, wherein determining the disease state of the cancer based on the detected levels of the biomarker proteins further comprises determining that the

detected levels of one or more of THBS1, TIMP1, PPBP, or PF4 are at least 10 times greater, and the active subpopulation HEP2 is at least 1.5 times lower in comparison to corresponding levels detected in samples obtained from healthy subjects.

**10.** The method of claim **1**, wherein determining the disease state of the cancer based on the detected levels of the biomarker proteins further comprises determining that the detected levels of one or more of C3, C4BPA, or PROP are lower in comparison to corresponding levels detected in samples obtained from healthy subjects.

**11.** The method of claim **10**, wherein determining the disease state of the cancer based on the detected levels of the biomarker proteins further comprises determining that the detected levels of one or more of C3, C4BPA, or PROP are at least 1.5 times lower in comparison to corresponding levels detected in samples obtained from healthy subjects.

**12.** The method of claim **1**, wherein determining the disease state of the cancer based on the detected levels of the biomarker proteins comprises determining that a detected level of one or more of SAA2, ECM1, ELANE, and CMGA are elevated in comparison to corresponding levels detected in samples obtained from healthy subjects.

**13.** The method of claim **12**, wherein determining the disease state of the cancer based on the detected levels of the biomarker proteins comprises determining that a detected level of one or more of SAA2 and ECM1 are elevated at least 1.5 times, ELANE is elevated at least 2 times, and CMGA is elevated at least 10 times in comparison to corresponding levels detected in samples obtained from healthy subjects.

**14.** A method for determining or diagnosing presence of cancer or risk factors for cancer in a subject, the method comprising:

obtaining a dataset comprising levels of two or more biomarker proteins in a sample obtained from the cancer subject, the two or more biomarker proteins involved in two or more interconnected pathways of dysregulation or systemic regulation of the two or more interconnected pathways of dysregulation, the two or more interconnected pathways comprising a coagulation pathway, a complement pathway, and an acute-phase inflammation pathway;

determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins,

wherein biomarker proteins involved in the coagulation pathway comprise tissue inhibitor of metalloproteinases-1 (TIMP1), Pro-platelet basic protein (PPBP), thrombospondin 1 (THBS1), platelet Factor 4 (PF4), and an active subpopulation of heparin cofactor 2 (HEP2),

wherein biomarker proteins involved in the complement pathway comprise complement (C3), complement component 4 binding protein alpha (C4BPA), properdin (PROP),

wherein biomarker proteins involved in the acute-phase inflammation pathway comprise, Serum Amyloid 2 (SAA2), extracellular matrix protein 1 (ECM1), Neutrophil Elastase (ELANE), and chromogranin A (CMGA),

wherein biomarker proteins involved in the systemic regulation of the coagulation, complement, and acute-

phase inflammation pathways comprise one or more serine proteinase inhibitor (SERPIN) proteins.

**15.** The method of claim **14**, wherein the one or more SERPIN proteins comprise alpha-1-antitrypsin (SERPINA1), wherein determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises:

determining a ratio of a detected level of an inactive subpopulation of SERPINA1 and a detected level of an active subpopulation of SERPINA1; and

determining that the determined ratio is elevated in comparison to a corresponding ratio of a level of an inactive subpopulation of SERPINA1 and a level of an active subpopulation of SERPINA1 detected in samples obtained from healthy subjects,

wherein the determined ratio is at least 3.5 times greater than the corresponding ratio detected in samples obtained from healthy subjects.

**16.** The method of claim **14**, wherein the one or more SERPIN proteins are antichymotrypsin (SERPINA3), plasma protease C1 inhibitor (SERPING1), heparin cofactor II (SERPIND1), antithrombin III (SERPINC1), alpha-1-antitrypsin (SERPINA1), kallistatin (SERPINA4), protein C inhibitor (SERPINA5), Z-dependent proteinase inhibitor (SERPINA10), and alpha-2-antiplasmin (SERPINF2).

**17.** The method of claim **14**, wherein determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining a ratio of a detected level of an inactive subpopulation of one of the one or more SERPIN proteins to a level of an inactive subpopulation of the one SERPIN protein detected in samples obtained from healthy subjects.

**18.** The method of claim **14**, wherein determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining a ratio of a detected level of an inactive subpopulation of one of the one or more SERPIN proteins to a level of an inactive subpopulation of the one SERPIN protein detected in samples obtained from healthy subjects.

**19.** The method of claim **14**, wherein determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining a ratio of a detected level of ELANE to one of a detected level of an inactive subpopulation of SERPINA1 or a detected level of an active subpopulation of SERPINA1.

**20.** The method of claim **19**, wherein the determined ratio of the detected level of ELANE to the detected level of the active subpopulation of SERPINA1 is at least 10 times greater than the corresponding ratio detected in samples obtained from healthy subjects.

**21.** The method of claim **14**, wherein determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining that the detected levels of one or more of THBS1, TIMP1, PPBP, or PF4 are elevated, and the active subpopulation of HEP2 is lower in comparison to corresponding levels detected in samples obtained from healthy subjects.

**22.** The method of claim **21**, wherein determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker

proteins comprises determining that the detected levels of one or more of THBS1, TIMP1, PPBP, or PF4 are at least 10 times greater, and the active subpopulation of HEP2 is at least 1.5 times lower in comparison to corresponding levels detected in samples obtained from healthy subjects.

**23.** The method of claim **14**, wherein determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining that the detected levels of one or more of C3, C4BPA, or PROP are lower in comparison to corresponding levels detected in samples obtained from healthy subjects.

**24.** The method of claim **23**, wherein determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining that the detected levels of one or more of C3, C4BPA, or PROP are at least 1.5 times

lower in comparison to corresponding levels detected in samples obtained from healthy subjects.

**25.** The method of claim **14**, wherein determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining that a detected level of one or more of SAA2, ECM1, ELANE and CMGA are elevated in comparison to corresponding levels detected in samples obtained from healthy subjects.

**26.** The method of claim **25**, wherein determining or diagnosing presence of cancer or risk factors for cancer in the subject based on the detected levels of the biomarker proteins comprises determining that a detected level of one or more of SAA2 and ECM1 are elevated at least 1.5 times, ELANE is elevated at least 2 times, and CMGA is elevated at least 10 times in comparison to corresponding levels detected in samples obtained from healthy subjects.

\* \* \* \* \*